

# **Richard Lester Deposition Excerpts**

IN THE UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF OHIO  
EASTERN DIVISION

KELCI STRINGER, Individually, )  
as Representative of the )  
Estate of Korey Stringer, )  
Plaintiff, )  
vs. ) No. C2 03 665  
NATIONAL FOOTBALL LEAGUE, )  
et al., )  
Defendants. )

The deposition of RICHARD A. LESTER,  
Vice President, General Counsel, called by the  
Plaintiff for examination, taken pursuant to the  
Federal Rules of Civil Procedure of the United  
States District Courts pertaining to the taking of  
depositions, taken before JOANNE H. RICHTER, a  
Notary Public within and for the County of Cook,  
State of Illinois, and a Certified Shorthand  
Reporter of said state, No. 84-2082, at Westin  
O'Hare, 6100 North River Road, Rosemont, Illinois,  
on the 17th day of October, A.D. 2008, at 9:00.

1 MR. TUCKER: Objection.

2 BY MR. DeMARCO:

3 Q. And still be considered consistent with  
4 the NOCSAE standard?

5 MR. TUCKER: Objection. You are asking for an  
6 opinion of counsel on that. I am instructing  
7 him not to answer. You are asking for a legal  
8 opinion from him.

9 MR. DeMARCO: Can you read the question,  
10 please.

11 (WHEREUPON, the record was read by  
12 reporter as requested.)

13 MR. TUCKER: I object that you are asking for  
14 a legal opinion.

15 BY MR. DeMARCO:

16 Q. Is Riddell a company that purports to  
17 follow the NOCSAE standard, Mr. Lester?

18 A. Yes.

19 Q. And I think I heard you say that there  
20 is no NOCSAE position stating that only the NOCSAE  
21 prescribed warnings should appear on helmets,  
22 correct?

23 MR. TUCKER: That's not what he said. He said  
24 he didn't know of NOCSAE taking a position on that.

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1 MR. DeMARCO: That's what I said, no position.

2 BY MR. DeMARCO:

3 Q. NOCSAE has never taken a position on  
4 that, correct?

5 MR. TUCKER: You said he didn't know of  
6 NOCSAE --

7 BY THE WITNESS:

8 A. I said I wasn't aware of any position  
9 they have taken. There are pretty extensive  
10 labeling requirements in the standard that I don't  
11 know verbatim, but I am not aware of any.

12 BY MR. DeMARCO:

13 Q. Do you have any reason to say that a  
14 company would violate the NOCSAE standards by  
15 putting on its helmet a warning other than the  
16 NOCSAE prescribed warning?

17 MR. TUCKER: Objection, instruct him not to  
18 answer. You are asking him to give a legal  
19 opinion.

20 MR. DeMARCO: He has been a NOCSAE board  
21 member. I am asking him as a former NOCSAE board  
22 member.

23 MR. TUCKER: No, you are asking him as a  
24 lawyer whether it is compliant with NOCSAE

1 standards, and he is not going to give you a legal  
2 opinion.

3 You are infringing on work product and  
4 attorney-client privilege as general counsel of  
5 Riddell. You can't do that. It's an improper  
6 question.

7 MR. DeMARCO: That has absolutely nothing to  
8 do with work product and you know it, Bob. It has  
9 nothing to do with attorney-client privilege.

10 MR. TUCKER: Sure, it does. You cannot ask  
11 him for his legal opinion.

12 MR. DeMARCO: He has been a member of the  
13 NOCSAE board, and he has --

14 BY MR. DeMARCO:

15 Q. You have been involved in NOCSAE affairs  
16 since 1979, have you not, Mr. Lester?

17 A. I have not. I was actively involved  
18 through the time that I was on the board of  
19 directors. I have not attended NOCSAE meetings for  
20 probably 15 years.

21 Q. How many NOCSAE meetings did you attend?

22 A. They were held twice a year, through --  
23 from '79 through early '90s. So 25 to 30 NOCSAE  
24 meetings through those years.

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1 Q. In all of your experience, then, with  
2 NOCSAE, have you ever seen NOCSAE take a position  
3 that a manufacturer could not remain compliant with  
4 NOCSAE standards if it included other warnings on  
5 its helmet?

6 MR. TUCKER: You may answer the question if  
7 you know if NOCSAE has taken that position. If you  
8 know.

9 BY THE WITNESS:

10 A. I don't know. I am not aware of any  
11 such position taken by NOCSAE.

12 BY MR. DeMARCO:

13 Q. And it is part of your responsibility to  
14 ensure that Riddell is compliant with NOCSAE  
15 standards, correct?

16 MR. TUCKER: You may answer that.

17 BY THE WITNESS:

18 A. I participate in that, yes. It is a  
19 function of design and engineering, as well as what  
20 I do.

21 BY MR. DeMARCO:

22 Q. Would Riddell still be compliant with  
23 NOCSAE standards if it included on its helmets  
24 warnings other than the NOCSAE prescribed warnings?

11 (Pages 38 to 41)

1 MR. TUCKER: Objection. You are asking for a  
2 legal opinion. I am instructing him not to answer.

3 MR. DeMARCO: I need that to be marked. We  
4 are going to have to talk to the magistrate judge.

5 MR. TUCKER: So to state my position, you are  
6 asking the general counsel to render an opinion as  
7 to whether the company is or is not compliant.  
8 That's a legal opinion.

9 MR. DeMARCO: So that I state my position, it  
10 is part of his responsibilities for compliance with  
11 NOCSAE standards. I didn't make up his  
12 responsibilities. Riddell did.

13 MR. TUCKER: I have no idea what --

14 BY MR. BAYLESS:

15 Q. You understand, Mr. Lester, this may  
16 result in us having to take your deposition again?

17 MR. TUCKER: Whether he understands it or not  
18 is not your concern. It is my concern, and I  
19 understand it.

20 MR. DeMARCO: I need to make Mr. Lester  
21 understand.

22 BY MR. DeMARCO:

23 Q. You do understand that this may result  
24 in me having to take your deposition again?

1 MR. TUCKER: Improper question. You don't  
2 have to answer that.

3 MR. DeMARCO: All right. Let's take a break.  
(WHEREUPON, a recess was had.)  
4 (WHEREUPON, a document was marked  
5 Deposition Exhibit No. 2, for  
6 identification, as of 10/17/08.)  
7

8 BY MR. DeMARCO:

9 Q. Mr. Lester, I am handing you what has  
10 been marked as Exhibit 2. Would you describe that  
11 for me, please.

12 A. It is entitled "Summary 1984 Report."  
13 It is a report that summarizes the known football  
14 injuries sustained by players for the 1984 football  
15 season.

16 Q. Who produced the report?

17 A. There is no indication on the report.  
18 Here it is, okay, on the second page,  
19 "Frederick O. Mueller, Ph.D., and Richard D.  
20 Schindler."

21 Dr. Mueller is at the University of  
22 North Carolina, and Mr. Schindler is with the  
23 National Federation of State High School  
24 Associations.

1 Q. The documents that you produced  
2 responsive to Document Request No. 3, any documents  
3 related to heat illness, all of these came from  
4 your file, correct, not your litigation file, but  
5 your personal file?

6 A. Yes.

7 Q. They were in your possession?

8 A. Yes.

9 Q. How did you come into possession of this  
10 document that we have marked as Exhibit 2?

11 A. Probably in -- as we go through these,  
12 there will be different ways that I came into  
13 possession of them, but this is a study that's been  
14 done since 1931 and is well known in the football  
15 community.

16 My reason for coming into possession was  
17 that I wanted to track -- I knew that Dr. Mueller  
18 tracks head and neck injuries in football on an  
19 annual basis, and I wanted to track those myself,  
20 as a part of my job at Riddell.

21 So I don't know if I obtained this one  
22 through a request to him or, perhaps, through a  
23 request to the National Federation of State High  
24 School Associations, or whether I was just on a

1 mailing list that received this.

2 Q. Did you have a standing request into  
3 Dr. Mueller or his organization for copies of this  
4 survey?

5 A. I don't believe I did, no.

6 Q. Did you read the entire report?

7 A. I probably did at one time.

8 Q. Do you have any reason to think that you  
9 didn't receive Exhibit 2 when it was produced by  
10 Dr. Mueller and his organization, whether it was  
11 1984, or, it appears they lag a year, maybe 1985?

12 A. A year or more. I have no reason to  
13 believe that I didn't receive it.

14 Q. At that time?

15 A. Sometime within 18 months of the 1984  
16 season.

17 (WHEREUPON, a document was marked  
18 Deposition Exhibit No. 3, for  
19 identification, as of 10/17/08.)

20 BY MR. DeMARCO:

21 Q. I hand you what's been marked as  
22 Exhibit 3 and ask you to identify that.

23 A. This is entitled "The Annual Survey of  
24 Football Injury Research, 1931 to 1985." Again,

1 A. No.

2 Q. You are not qualified to diagnose or  
3 treat heat illness?

4 A. I am not.

5 Q. You are not qualified to explain the  
6 causes of heat illness, are you?

7 A. No.

8 Q. You have not done any studies on the  
9 relationship between football equipment and heat  
10 illness, have you?

11 A. I not done any studies myself, no.

12 Q. Have you commissioned any studies?

13 A. I have not.

14 Q. Have you been involved in any studies in  
15 the relationship between football equipment and  
16 heat illness?

17 A. I have not.

18 Q. Did Riddell design the AF-2 helmet with  
19 thermoregulation in mind?

20 MR. TUCKER: Objection, form. Go ahead.

21 BY THE WITNESS:

22 A. That really would be a question for the  
23 engineering department, design people, Thad Ide.  
24 I wasn't involved in the design of the AF-2.

1 BY MR. DeMARCO:

2 Q. Did you or anyone else at Riddell ever  
3 discuss putting on any Riddell helmets a warning  
4 about heat-related illness?

5 MR. TUCKER: Objection, that's calling for the  
6 general counsel of the company to express opinions  
7 on legal advice that may or may not have been given  
8 to the company. It is an improper question. I am  
9 instructing the witness not to answer.

10 BY MR. DeMARCO:

11 Q. Has Riddell ever considered putting any  
12 of -- strike that.

13 Has Riddell ever considered putting on  
14 its helmets any warning about heat-related illness?

15 MR. TUCKER: Again, posing that question to  
16 the general counsel of Riddell infringes upon his  
17 attorney-client relationship. Whether they did or  
18 they didn't is an impermissible question. He is  
19 instructed not to answer.

20 MR. DeMARCO: Mark that, as well, please.

21 BY MR. DeMARCO:

22 Q. Mr. Lester, will you tell me everything  
23 you know about heat-related illness.

24 MR. TUCKER: I will object to the form, but go

1 ahead.

2 BY THE WITNESS:

3 A. What I know about heat-related illness?

4 BY MR. DeMARCO:

5 Q. Yes.

6 A. I know that it is a concern for anyone  
7 engaged in exertional activities on hot and humid  
8 days, and that there are a number of factors that  
9 must be considered if you are going to concern  
10 yourself with the risk of heat illness.

11 Among them, the environment you are in,  
12 meaning temperature and humidity, the activity you  
13 are engaged in, if it is exertional, whether it  
14 be -- well, any kind of sport, any kind of physical  
15 activity, involving climbing, hiking, depend -- the  
16 clothing you are wearing has an effect on your  
17 risk, as well as the condition of the person that  
18 you are talking about, whether they are in good  
19 physical condition, if they are used to being in  
20 the heat and exerting themselves in the heat.  
21 So, I guess that would be my summary of what I know  
22 about it.

23 Q. Okay. Is that all information you had  
24 as of July 31, 2001, as best you can recall?

1 MR. TUCKER: Objection, go ahead.

2 BY THE WITNESS:

3 A. I think it's information that I have  
4 known since I was playing baseball as a little boy.

5 BY MR. DeMARCO:

6 Q. Where did the wording on -- the current  
7 wording on Riddell helmet warnings, where did it  
8 come from? Is it all the NOCSAE-prescribed warning  
9 text?

10 A. It started with the NOCSAE-prescribed  
11 warning text. That wording has been changed  
12 through the years, with the same message being  
13 given up until about 2002.

14 And in 2002, our engineering and design  
15 department were engaged in the process of designing  
16 the Revolution helmet, which was a helmet designed  
17 with the intent to reduce concussions.

18 At about the same time as we were -- the  
19 company was designing that helmet, there was a good  
20 deal of research going on by the neurosurgeons and  
21 neurologists identifying a new type of injury, at  
22 least relatively new for the 2000 period, and that  
23 was an injury that was identified in adolescent  
24 children who sustained a relatively minor head

16 (Pages 58 to 61)



1 illness, right?

2 MR. TUCKER: Objection, form.

3 BY MR. DeMARCO:

4 Q. Or the concern for or the risk of heat  
5 illness, nothing in there relates to that?

6 MR. TUCKER: Objection, form. Go ahead and  
7 answer.

8 BY THE WITNESS:

9 A. Well, I think I will stick with my  
10 answer, nothing in here refers to the issue of heat  
11 illness, period, whether it be -- however you want  
12 to characterize it. There is nothing in here  
13 regarding heat illness.

14 BY MR. DeMARCO:

15 Q. And none of those warnings, then, are  
16 intended to prevent heat illness?

17 MR. TUCKER: Objection, form.

18 BY THE WITNESS:

19 A. None of the warnings are intended to  
20 prevent heat illness, correct.

21 MR. DeMARCO: Quick break.

22 (WHEREUPON, a recess was had.)

23 BY MR. DeMARCO:

24 Q. Apart from this litigation, have you had

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1 opportunities to review any studies related to heat  
2 illness?

3 A. I haven't reviewed studies related to  
4 heat illness beyond the limited discussion of them  
5 in the Schindler reports.

6 Q. Okay. And apart from this lawsuit, have  
7 you had any personal experience with heat-related  
8 illness?

9 MR. TUCKER: Objection. Go ahead.

10 BY THE WITNESS:

11 A. If the question is whether I have ever  
12 experienced a heat-related illness, I have not.

13 BY MR. DeMARCO:

14 Q. You have never observed someone  
15 experiencing a heat-related illness?

16 A. Actually, I have, when I was very small,  
17 friend of my father's, but that was many, many,  
18 many years ago.

19 Q. Do you know what the nature of it was?

20 A. I don't.

21 Q. Have you reviewed any data regarding  
22 heat-related injuries in the National Football  
23 League?

24 A. I have never seen that, no.

1 Q. When Riddell places a warning on its  
2 helmet or on a tag attached to its helmet, it  
3 anticipates that the player will follow the  
4 warning, correct?

5 MR. TUCKER: Objection to the form of the  
6 question. You are asking for a legal -- I think  
7 you are asking for a legal opinion from the general  
8 counsel of Riddell, so --

9 BY MR. DeMARCO:

10 Q. When, in the period before 2000 that we  
11 talked about where the warnings were, we think,  
12 fixed from and after 1999, on Exhibit 19, did  
13 Riddell anticipate that these warnings would be  
14 followed by the players wearing the AF-2s?

15 MR. TUCKER: Objection to the form and the  
16 using the word "fixed." I am not quite sure what  
17 you mean by that.

18 BY MR. DeMARCO:

19 Q. I think we talked before about, you know  
20 of no changes to the warnings that appear here  
21 after 1999, correct?

22 A. I don't know of any, correct, until  
23 2002.

24 Q. So during the period when these warnings

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1 were in use on the AF-2, those warnings that appear  
2 on Exhibit 19, did Riddell anticipate that the  
3 players wearing these helmets would follow the  
4 warnings?

5 A. Yes, I don't know that I would use the  
6 word "anticipate." Our intent was to put the  
7 warning on the helmet and our hope was that the  
8 player would read the warning and comply with the  
9 warning.

10 I don't know that I would go so far as  
11 to say I anticipated that everyone would, but that  
12 was our intent.

13 Q. What has Riddell done to reduce the  
14 incidence of heat illness among NFL players, if  
15 anything?

16 MR. TUCKER: Objection, go ahead.

17 MR. BLOCK: I didn't catch the question.

18 (WHEREUPON, the record was read by  
19 reporter as requested.)

20 MR. BLOCK: Object to the form

21 MR. TUCKER: I object to it, as well. Go  
22 ahead.

23 BY THE WITNESS:

24 A. Well, I think that's really within the

23 (Pages 86 to 89)

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1 scope of the coaches and trainers and people  
2 controlling practice and play at the level of the  
3 NFL rather than something that Riddell would be  
4 involved in.

5 BY MR. DeMARCO:

6 Q. So is the answer "nothing"?

7 MR. TUCKER: Objection to the form.

8 BY THE WITNESS:

9 A. I will stick with my answer.

10 BY MR. DeMARCO:

11 Q. Has Riddell done anything to reduce the  
12 incidence of heat illness among NFL players?

13 MR. TUCKER: Objection. Go ahead.

14 BY THE WITNESS:

15 A. As I said before, no, I think that's  
16 more in the province of the coach and the trainers  
17 onsite.

18 BY MR. DeMARCO:

19 Q. I didn't hear you say "no" before. I am  
20 sorry. Has Riddell done anything to determine the  
21 magnitude of the heat illness problem among NFL  
22 players?

23 MR. TUCKER: Objection.

24 MR. BLOCK: Objection to the form.

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1 MR. TUCKER: Objection to the form of the  
2 question. You can go ahead and answer.

3 BY THE WITNESS:

4 A. Not that I am aware of.

5 BY MR. DeMARCO:

6 Q. Has Riddell done anything to determine  
7 the magnitude of the heat illness problem among  
8 football players, in general?

9 MR. TUCKER: Objection to form.

10 MR. BLOCK: Join.

11 BY THE WITNESS:

12 A. Not that I am aware of, no.

13 BY MR. DeMARCO:

14 Q. Does Riddell take the position that  
15 there is not a heat illness problem among NFL  
16 players?

17 MR. TUCKER: Objection to the form. It's  
18 close to asking for an opinion of the general  
19 counsel, but I will let him answer that question.

20 BY MR. DeMARCO:

21 Q. Among NFL players or football players,  
22 in general?

23 A. I think it is our opinion that there are  
24 so many factors involved in the issue of potential

1 heat illness, it goes way beyond the Riddell helmet  
2 and shoulder pads. It goes to the condition of the  
3 player. It goes to the environment they are in.  
4 It goes to not only helmet and shoulder pads, but  
5 long pants, jerseys, knee pads, thigh pads,  
6 neoprene sleeves, gloves, the condition of the  
7 player, what type of condition they are in as far  
8 as weight is concerned, whether they are used to  
9 hot temperatures.

10 So, no, I don't think that we are

11 alleging or claiming that there is not a problem,  
12 but it is a problem that has many, many factors  
13 that control it.

14 Q. Of the equipment that you just  
15 mentioned, what does Riddell make? You just listed  
16 some equipment.

17 A. We make helmets, shoulder pads. We sell  
18 other types of body padding, knee pads, thigh pads,  
19 elbow pads. We make custom uniforms.

20 I think we sell gloves. We don't make  
21 them.

22 Q. Has Riddell made any assessment of the  
23 extent to which the products you just listed  
24 contribute to heat illness among football players?

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1 MR. TUCKER: Objection, form. Go ahead.

2 BY THE WITNESS:

3 A. Was the question whether we have done  
4 any studies?

5 BY MR. DeMARCO:

6 Q. Made any assessment.

7 A. We have not.

8 Q. Do you intend to do so in the future?

9 MR. TUCKER: Objection, that's a question  
10 that's asked of the general counsel of Riddell, and  
11 I instruct him not to answer that question. It is  
12 an impermissible question and infringes upon his  
13 attorney-client relationship.

14 BY MR. DeMARCO:

15 Q. Does Riddell intend to do so in the  
16 future, sir?

17 MR. TUCKER: I instruct him not to answer. It  
18 is an impermissible question.

19 MR. DeMARCO: Would you mark that, please.

20 BY MR. DeMARCO:

21 Q. As of July 31, 2001, Riddell knew, did  
22 it not, that its helmets and shoulder pads were  
23 being worn during practices at NFL training camps?

24 A. We knew that, yes.

24 (Pages 90 to 93)

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1 I told you that I know that, in August and July  
2 around the country, they will be practicing on hot  
3 and humid days.

4 BY MR. DeMARCO:

5 Q. And you knew that Riddell knew that its  
6 helmets were being worn on such days at NFL  
7 training camps --

8 A. Yes.

9 Q. -- as of July 31, 2001, correct?

10 A. Correct.

11 Q. As of July 31, 2001, had Riddell made  
12 any assessment of the seriousness of the heat  
13 illness that could result from wearing its helmets  
14 and shoulder pads in hot and humid conditions?

15 MR. TUCKER: Objection, form. I will let you  
16 go ahead and answer the question.

17 BY THE WITNESS:

18 A. I am not going to answer the question  
19 because I don't agree with the way it was stated.

20 BY MR. DeMARCO:

21 Q. You may not agree with the premise, but  
22 it is a legitimate question.

23 Had they made an assessment?

24 A. I am not going to answer yes or no when

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1 you attribute the heat illness to the helmet and  
2 shoulder pads. I don't agree with that.

3 Q. So Riddell had not made such an  
4 assessment?

5 MR. TUCKER: Objection to the form.

6 BY MR. DeMARCO:

7 Q. Because you don't agree that there is  
8 such a risk?

9 MR. TUCKER: Objection to the form of the  
10 question. You are asking for an opinion of the  
11 general counsel. And so, he has answered your  
12 question. You can't ask him for his opinion.

13 MR. DeMARCO: I am asking as of July 31, 2001.

14 There is nothing about his opinion or -- it is  
15 Riddell's assessment at that time. There is  
16 nothing about his opinion.

17 BY MR. DeMARCO:

18 Q. Had Riddell made the assessment of the  
19 seriousness of heat-related illness that could  
20 result from wearing Riddell helmets and shoulder  
21 pads in extremely hot and humid conditions? Had  
22 they made that assessment?

23 A. My answer is I don't agree with your  
24 opinion and your allegation that heat illness

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1 results from wearing helmets and shoulder pads.

2 Q. And as a result of holding that opinion,  
3 and I suppose Riddell, as a whole, holds that  
4 opinion, Riddell did not make the assessment -- had  
5 not made the assessment as of July 31, 2001,  
6 correct?

7 A. I will stick with my answer.

8 Q. And even today, Riddell has not made  
9 that assessment?

10 A. I will stick with my answer.

11 MR. DeMARCO: Would you mark that, please,  
12 both of those.

13 BY MR. DeMARCO:

14 Q. As of July 31, 2001, had Riddell  
15 evaluated the cost of taking effective precautions  
16 to avoid having players develop heat illness?

17 MR. TUCKER: Objection to the form of the  
18 question. You may answer.

19 BY THE WITNESS:

20 A. I am not sure I understand what you  
21 mean. Once again, the way to avoid heat illness is  
22 to understand what causes it. And that's really a  
23 matter for the coaches and the training staff who  
24 understand the conditions under which they are

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1 practicing. They understand the condition the  
2 player is in. They control who is where and who  
3 wears what. So I don't know what you mean by  
4 "cost."

5 It is something that wouldn't be -- we  
6 couldn't pay to have the problem go away. It is in  
7 the province of the people running the practices  
8 and understanding the capabilities of their players  
9 or the environment that they are in to avoid the  
10 problem.

11 BY MR. DeMARCO:

12 Q. As of July 31, 2001, had Riddell  
13 evaluated the ease with which Riddell could take  
14 effective precautions to avoid having players  
15 develop heat illness?

16 MR. TUCKER: Objection, form.

17 BY THE WITNESS:

18 A. I would really give the same answer. It  
19 is not within Riddell's control to do away with the  
20 problem of heat illness. It is the responsibility  
21 of the teams, the coaches, the trainers and the  
22 players themselves.

23 Q. So are you saying Riddell plays no role  
24 in the prevention of heat illness?



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1 A. We design and place warnings on a  
2 product to advise the wearer of certain things, for  
3 instance, that the fact that he has a helmet on his  
4 head will not prevent him from sustaining all head  
5 and neck injuries. That's not an attempt by us, or  
6 we certainly can't attempt to control a player's  
7 activity, but we can notify them of things we think  
8 it is important for them to know. So we advise  
9 them that if they butt, ram or spear an opposing  
10 player, the helmet is not going to prevent them  
11 from breaking their neck.  
12 BY MR. DeMARCO:

13 Q. You advise them of all the risks of  
14 wearing the helmet that you think they need to  
15 know?

16 A. All the risks to their head and neck,  
17 which is the purpose of the helmet, is to protect  
18 the head, and so anything that we think they need  
19 to know about the limitations of the helmet to  
20 protect their head, we feel it is necessary to  
21 notify them of, so that's what we do.

22 Q. Is there any reason why you limit the  
23 warnings to head and neck warnings?

24 MR. TUCKER: Objection, form. Go ahead.

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1 BY THE WITNESS:

2 A. Well, because that's what the helmet is  
3 designed to do, protect the head. And in some  
4 cases, players use their head in inappropriate ways  
5 because they have the helmet on their head, and  
6 that results in neck injuries, so we address those  
7 two areas of potential injury with the player.

8 BY MR. DeMARCO:

9 Q. -- Okay. And given that you don't apprise  
10 players of any other risks of wearing your helmet,  
11 Riddell's helmet, is it reasonable for the player  
12 who wears a Riddell helmet to assume that there are  
13 no other risks of injury as a result of wearing the  
14 helmet?

15 MR. TUCKER: Objection to the form. You can  
16 answer.

17 BY THE WITNESS:

18 A. I don't understand. You have to restate  
19 that, please.

20 (WHEREUPON, the record was read by  
21 reporter as requested.)

22 MR. TUCKER: Object.

23 BY MR. DeMARCO:

24 Q. Other than what you apprise the player

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1 of, is it reasonable for the player to assume there  
2 aren't any other risks other than those that you  
3 have apprised him of?

4 A. No, I don't think it is reasonable for a  
5 player to assume that.

6 When something -- if, once again, you  
7 are trying to refer to heat illness as a risk of  
8 injury, that's something that is so open and  
9 obvious to everyone who participates, not only in  
10 football, but in any exertional sport in hot, humid  
11 weather.

12 I think it would be totally unreasonable  
13 to assume that you can't be injured in any way or  
14 suffer other types of injuries when you are out  
15 playing football and practicing in a mini camp with  
16 or without equipment and clothes on.

17 Q. An NFL player wearing a Riddell helmet  
18 should understand that he can develop heat stroke  
19 as a result of wearing the Riddell helmet?

20 MR. TUCKER: Objection.

21 BY THE WITNESS:

22 A. Absolutely not.

23 BY MR. DeMARCO:

24 Q. What are you telling me?

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1 A. I am telling you an NFL player, whether  
2 he has a helmet and shoulder pads on or not, should  
3 recognize the fact that he can suffer heat illness  
4 if all the factors that control heat illness are  
5 there: If it is hot and humid, if he is in poor  
6 physical shape, if he is sick with other illnesses,  
7 if he is not taking enough water, not hydrating,  
8 not acclimating himself to the climate, not --  
9 I mean, there are just so many things involved, it  
10 has absolutely nothing to do with reasonable,  
11 unreasonable.

12 Anybody out in that situation, whether  
13 it is on a football field, a baseball field, track  
14 and field, shouldn't assume that under no  
15 circumstances are they going to suffer some kind of  
16 heat illness.

17 Q. Is an unacclimatized football player at  
18 increased risk of heat illness if he puts a Riddell  
19 helmet on?

20 MR. TUCKER: Objection to the form.

21 BY MR. DeMARCO:

22 Q. And practices?

23 A. I am not a doctor, so I am not going to  
24 say that that alone would increase the risk.

28 (Pages 106 to 109)

1 Stringer, without having them develop heat stroke?

2 If not you, who would know that?

3 MR. TUCKER: Objection to the form of the  
4 question. I think that's an unknowable question,  
5 but if you can --

6 BY THE WITNESS:

7 A. I don't know. I mean, you people have  
8 already deposed our designers and engineers, and  
9 they have gone into what the purpose of -- what the  
10 company's intention is with regard to the purpose  
11 of our equipment, and so that's where the question  
12 would be to, I suppose, to Thad Ide.

13 But I don't know that he has a position  
14 with respect to your question on heat illness and  
15 what the company's intention would be. I can't  
16 speak for him, however.

17 BY MR. DeMARCO:

18 Q. Is it fair to say that, to your  
19 knowledge, Riddell hadn't formed any opinion  
20 because heat illness wasn't on its radar screen as  
21 of July 31, 2001?

22 MR. TUCKER: Objection to the form of the  
23 question. You can go ahead and answer it, but I  
24 object to the form of the question, he hadn't

1 formed any position because it wasn't on the radar  
2 screen.

3 BY THE WITNESS:

4 A. I am not sure what you mean by "wasn't  
5 on the radar screen."

6 I can tell you in the 30 years I have  
7 with Riddell, this case is the only time someone  
8 has alleged that helmets and shoulder pads are  
9 involved in any way in heat illness, so it was not  
10 an issue that we had faced prior to  
11 July 31, 2001.

12 BY MR. DeMARCO:

13 Q. But you acknowledged to me before, did  
14 you not, that a player putting on a helmet should  
15 not assume that he is free from the risk of  
16 developing heat stroke?

17 A. I don't believe I agreed with that  
18 statement. I think what I said is any athlete,  
19 regardless of the equipment he has on, should not  
20 assume that he cannot suffer from heat illness.

21 Q. So a football player wearing a football  
22 helmet made by Riddell should not assume that he  
23 can't suffer heat stroke?

24 A. I would agree with that, yes.

1 Q. So was it Riddell's intention that  
2 players putting on Riddell helmet and shoulder pads  
3 as of July 31, 2001, could wear them without  
4 suffering heat stroke?

5 MR. TUCKER: It is the same question you have  
6 asked and he said he couldn't answer. But go  
7 ahead.

8 BY THE WITNESS:

9 A. No, I can't. I mean, that doesn't  
10 follow from your last question.

11 BY MR. DeMARCO:

12 Q. My questions don't have to follow from  
13 one another.

14 A. It is when you have prefaced your  
15 question by, the next one, with "so then you are  
16 assuming." You prefaced that with the response  
17 from the prior question, and there is no  
18 relationship to the two questions.

19 Q. Okay. Was it Riddell's intention that  
20 Korey Stringer could put on a Riddell helmet  
21 without developing heat stroke?

22 A. And I think I have already indicated to  
23 you I don't know that there was an intention either  
24 way from the company on that. It was not an issue

1 with the company.

2 If someone asks me, as a representative  
3 of Riddell, I would say that no player in any sport  
4 should feel that they can go out and practice in  
5 hot, humid weather, with clothing on, and not take  
6 breaks, not hydrate, not acclimate, and presume  
7 that they are not going to have some potential for  
8 heat illness.

9 Q. Okay. And what exactly, then, is  
10 Riddell's reason, given what you just said -- so  
11 this question falls from the last one -- given what  
12 you just said, what exactly is Riddell's reason for  
13 not including a warning on the AF-2 about the risk  
14 of heat illness?

15 MR. TUCKER: I object to the question. You  
16 are asking for an opinion of the general counsel of  
17 Riddell, and I am instructing him not to answer the  
18 question.

19 BY MR. DeMARCO:

20 Q. I am asking in the period of time when  
21 you made the AF-2, which was before this lawsuit,  
22 what was Riddell's reason, given the risk that you  
23 just enunciated, what was Riddell's reason for not  
24 including a warning with respect to heat illness?

1 MR. TUCKER: Again, objection, and I am  
2 instructing him not to answer. You are asking for  
3 an opinion of the general counsel as to how he has  
4 advised the company, and that's an impermissible  
5 question.

6 MR. DeMARCO: I am asking as matter of  
7 historical fact. Would you mark that question,  
8 please.

9 BY MR. DeMARCO:

10 Q. Have you had any discussions with NFL  
11 representatives about heat-related illness?

12 A. No.

13 Q. Have you had any discussions with NOCSAE  
14 representatives about heat-related illness?

15 A. No.

16 Q. Have you had any discussions with anyone  
17 at Southern Impact regarding heat-related illness?

18 A. No.

19 Q. You mentioned earlier part of your  
20 responsibility are contracts.

21 Does that include the contract between  
22 the NFL and Riddell under which the NFL licenses  
23 helmets?

24 A. It does not.

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1 MR. BLOCK: Sorry, I object to the form of  
2 that question.

3 BY MR. DeMARCO:

4 Q. Are you familiar with that agreement?

5 A. I am.

6 Q. It is not an agreement over which you  
7 have responsibility?

8 A. Well, it is not an agreement that I was  
9 involved in the formation of. I occasionally get  
10 questions about provisions in the agreement, but it  
11 is, no, not my responsibility to oversee that  
12 agreement.

13 Q. Okay. Are you familiar with Riddell's  
14 safety obligations under the agreement?

15 A. Not in detail, no. That would be Thad  
16 Ide that would know about the safety obligations.

17 Q. Who would be responsible for ensuring  
18 compliance with the safety obligations in the  
19 licensing agreement between the NFL and Riddell?  
20 I am talking about on the Riddell side.

21 A. That would be Thad Ide.

22 Q. Does the NFL have the authority, under  
23 the licensing agreement, to dictate that Riddell  
24 put notices on its helmets regarding heat illness?

1 MR. BLOCK: I object to the form.

2 MR. TUCKER: Objection to the form. If you  
3 know.

4 BY THE WITNESS:

5 A. I don't know.

6 BY MR. DeMARCO:

7 Q. Has the NFL ever attempted to instruct  
8 Riddell to put notices on its helmets regarding  
9 heat illness?

10 MR. TUCKER: Objection. Go ahead.

11 BY THE WITNESS:

12 A. I am not aware of anything like that,  
13 no.

14 BY MR. DeMARCO:

15 Q. This is a variation of a question I  
16 already asked. But we can agree, can we not, that  
17 the Riddell NFL helmet was intended to be worn by  
18 NFL players during training camp?

19 MR. TUCKER: Objection, asked and answered.  
20 Go ahead and answer it again.

21 BY THE WITNESS:

22 A. I am not sure I know what you mean by  
23 "the Riddell NFL helmet."

24 The Riddell helmet is the Riddell helmet

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1 for varsity players, whether it be high school  
2 college, or the NFL. Riddell helmets are intended  
3 to be worn by NFL players.

4 Q. Yes, I think it is a defined term in  
5 your agreement with the NFL.

6 MR. BLOCK: Objection to the extent you are  
7 asking him about the agreement that he says he  
8 doesn't know about. Objection to the extent you  
9 are trying to use the term from the agreement.

10 MR. DeMARCO: It is not that he doesn't know  
11 anything about it.

12 BY MR. DeMARCO:

13 Q. Can we agree that players who are  
14 involved in training camps are engaging in  
15 activities connected to the sport of football?

16 MR. TUCKER: Go ahead. You can answer.

17 BY THE WITNESS:

18 A. I think I have already answered that  
19 I am -- I have never attended a training camp, so  
20 I don't know specifically what they do, other than  
21 train to play football, so I would say that they  
22 are there training, getting ready for football  
23 season.

24 BY MR. DeMARCO:

31 (Pages 118 to 121)

**Richard Lester**  
**Deposition Exhibits 5, 6,**  
**7, 8, 10**





# Annual Survey of Football Injury Research 1931-1990

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and

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Prepared for:  
American Football Coaches  
Association  
Orlando, Florida;  
National Collegiate Athletic  
Association  
Overland Park, Kansas;  
The National Federation of State  
High School Associations  
Kansas City, Missouri

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State High School Associations.  
Submitted February, 1991

## Section I INTRODUCTION

In 1931 the American Football Coaches Association initiated the First Annual Survey of Football Fatalities. The original survey committee was chaired by Marvin A. Stevens, M.D., of Yale University, who served from 1931-1942. Floyd R. Eastwood, Ph.D., Purdue University, succeeded Dr. Stevens in 1942 and served through 1964. Carl S. Blyth, Ph.D., University of North Carolina at Chapel Hill, was appointed in 1965 and served through the 1979 football season. In January 1980, Frederick O. Mueller, Ph.D., University of North Carolina at Chapel Hill, was appointed by the American Football Coaches Association and the National Collegiate Athletic Association to continue this research under the new title, Annual Survey of Football Injury Research.

The primary purpose of the Annual Survey of Football Injury Research is to make the game of football a safer and, therefore, a more enjoyable sports activity. Because of these surveys, the game of football has realized many benefits in regard to rule changes, improvement of equipment, and improved coaching techniques. The 1976 rule change that made it illegal to make initial contact with the head while blocking and tackling was the direct result of this research.



*The 1990 report is historic in that it is the first year since the beginning of the research, 1931, that there has not been a direct fatality in football at any level of play. This clearly illustrates that this type of data collection and constant analysis of the data is important and plays a major role in injury prevention.*

## Data Collection

Throughout the year, upon notification of a suspected football fatality, immediate contact is made with the appropriate officials (coaches, administrators, physicians, trainers). Pertinent information is collected through questionnaires and personal contact.

Football fatalities are classified for this report as direct and indirect. The criteria used to classify football fatalities are as follows:

**Direct** — Those fatalities which resulted directly from participation in the fundamental skills of football.

**Indirect** — Those fatalities which are caused by systemic failure as a result of exertion while participating in football activity or by a complication which was secondary to a non-fatal injury.

In several instances of reported football fatalities, the respondent stated the fatality should not be attributed to football. Reasons for these statements are that the fatality was attributed to physical defects that were unrelated to football injuries.



Participation numbers were updated in the 1989 report. The National Federation of State High School Associations has estimated that there are approximately 1,500,000 high school, junior high school, and non-federation school football participants in the United States. The college figure of 75,000 participants includes the National Collegiate Athletic Association, the National Association of Intercollegiate Athletics, the National Junior College Athletic Association, and an estimate of schools not associated with any national organization. Sandlot and professional football have been estimated at 225,000 participants. These figures give an estimate of 1,800,000 total football participants in the United States for the 1991 football season.

Dr. Mueller compiled and prepared the survey report on college, professional and sandlot levels, and Mr. Richard D. Schindler of the National Federation of State High School Associations assumed complete responsibility for collecting and preparing the senior and junior high school phase of the study. Sandlot is defined as non-school football, but organized and using full protective equipment.

At the conclusion of the football season, both reports are compiled into this Annual Survey of Football Injury Research. This report is sponsored by the American Football Coaches Association, The National Collegiate Athletic Association, and The National Federation of State High School Associations.

## Acknowledgements

This 1990 report was compiled with the assistance of executive officers, high



school and college coaches, athletic directors, school administrators, physicians, a national newspaper clipping agency, and professional associates of the authors.

## Section II SUMMARY

1. There were no fatalities directly related to football during the 1990 football season. This is the first time since the research began in 1931 that there has not been a direct football fatality in football. The elimination of direct football fatalities in 1990 can be directly related to the *Annual Survey of Football Injury Research 1931 - 1990*. (Table I).

2. The incidence of direct fatal injuries is very low on a 100,000 player exposure basis. For the approximately 1,800,000 participants in 1990, the incidence of direct fatalities was 0.00 participants per 100,000 players.

3. The incidence of direct fatalities in high school and junior high school football was 0.00 participants per 100,000 players. The incidence of direct fatalities in college was 0.00 participants per 100,000 players. (Table III)

4. In many cases football cannot be directly responsible for fatal injuries (heart stroke, heart failure and so forth). In 1990 there were six indirect fatalities. Three were associated with high school football and three were associated with college football. Two of the high school indirect deaths were related to heart failure and one to an asthma attack. One of the college indirect fatalities was related to heart failure, one to heat illness and one to exertion induced rhabdomyolysis (destruction of skeletal muscle) with sickle cell trait being a possible contributing factor. (Table VIII)

## Section III Discussion and Recommendations

After a slight rise in the number of football fatalities during the 1986 season, the 1990 data reveal the elimination of direct football fatalities. This is the first time in the past 59 years that there have been no direct football fatalities. The 1990 data illustrate the importance of data collection and the analysis of this data in making changes in the game of football that help reduce the incidence of serious injuries. An all out effort must be made to keep these figures low and to strive for the continued elimination of football fatalities.

## Head and Neck Injuries

Past efforts that were successful in reducing fatalities to the level indicated in the 1979, 1983, 1984, 1985, 1987, 1989 data and the elimination of direct fatalities in 1990 should again be em-

phasized. Rule changes for the 1976 football season which eliminated the head as a primary and initial contact area for blocking and tackling is of utmost importance. Since 1960 most of the direct fatalities have been caused by head and neck injuries. We must continue to reduce head and neck injuries.

Several suggestions for reducing head and neck injuries are as follows:

1. Athletes must be given proper conditioning exercises which will strengthen their necks so that participants will be able to hold their heads firmly erect when making contact.

2. Coaches should drill the athletes in the proper execution of the fundamental football skills, particularly blocking and tackling. Contact should always be made with the head up and never with the top of the head/helmet. Initial contact should never be made with the head/helmet.

3. Coaches and officials should discourage the players from using their heads as battering rams when blocking

and tackling. The rules prohibiting spearing should be enforced in practice and in games. The players should be taught to respect the helmet as a protective device and that the helmet should not be used as a weapon.

4. All coaches, physicians, and trainers should take special care to see that the player's equipment is properly fitted, particularly the helmet.

5. When a player has experienced or shown signs of head trauma (loss of consciousness, visual disturbances, headache, inability to walk correctly, obvious disorientation, memory loss), he should receive immediate medical attention and should not be allowed to return to practice or game without permission from the proper medical authorities.

Another important effort has been and continues to be the improvement of football protective equipment. It is imperative that old and worn equipment be properly renovated or discarded and

TABLE I

Fatalities: Directly Due To Football - 1931 - 1990\*

	SANDLOT	PRO AND SEMIPRO	HIGH SCHOOL	COLLEGE	TOTAL
Year	Direct	Direct	Direct	Direct	Direct
**1931-1959	115	68	262	41	486
1960	1	1	11	1	14
1961	3	0	10	6	19
1962	6	1	12	0	19
1963	1	1	12	2	16
1964	4	1	21	3	29
1965	4	0	20	1	25
1966	4	0	20	0	24
1967	5	0	16	3	24
1968	4	1	26	5	36
1969	3	1	18	1	23
1970	3	0	23	3	29
1971	2	0	15	3	20
1972	3	1	16	2	22
1973	2	0	7	0	9
1974	0	0	10	1	11
1975	1	0	13	1	15
1976	3	0	15	0	18
1977	1	0	8	1	10
1978	0	0	9	0	9
1979	0	0	3	1	4
1980	0	0	9	0	9
1981	2	0	5	2	9
1982	2	0	7	0	9
1983	0	0	4	0	4
1984	1	0	4	1	6
1985	2	0	4	1	7
1986	0	0	10	1	11
1987	0	0	4	0	4
***1988	0	0	7	0	7
1989	0	0	4	0	4
1990	0	0	0	0	0
TOTALS	172	75	605	80	932

\* No study was made in 1942.

\*\* Yearly totals available from past reports.

\*\*\* 1988 data changed due to updated information.

continued emphasis be placed on developing the best equipment possible. Manufacturers, coaches, trainers, and physicians should continue their joint and individual efforts toward this end.

The authors of this research are convinced that the current rules which eliminate the head in blocking and tackling, the helmet research conducted by NOCSAE, excellent physical conditioning and proper medical supervision have played the primary role in reducing fatalities and serious head and neck injuries in football.

This is best illustrated by Table IX and Graph I which show the increase in both head and cervical spine fatalities during the decade from 1965-1974. This time period was associated with blocking and tackling techniques that involved the head as the initial point of contact.

The reduction in head and cervical spine injuries is shown in the decade from 1975-1984. This decade was associated with the 1976 rule change that eliminated the head as the initial contact point in blocking and tackling. There is no doubt that the 1976 rule change has made a difference and that a continued effort should be made to keep the head out of the fundamental skills of football.

### Heat Stroke

A continuous effort should be made to eliminate heat stroke deaths associated with football. Since the beginning of the survey through 1959 there were five cases of heat stroke death reported. From 1960 through 1990 there have been seventy-nine heat stroke cases which resulted in death (Table IV).

Since 1974 there has been a dramatic reduction in heat stroke deaths with the exception of 1978 when there were four. One death was caused by heat stroke in 1990. All coaches, trainers, and physicians should continue their efforts toward eliminating athletic fatalities which result from physical activity in hot weather.

Heat stroke and heat exhaustion are prevented by careful control of various factors in the conditioning program of the athlete. When football activity is carried on in hot weather, the following suggestions and precautions should be taken:

1. Each athlete should have a complete physical examination with medical history and an annual health history update. History of previous heat illness and type of training activities before organized practice begins should be included.

2. Acclimatize athletes to heat gradually by providing graduated practice sessions for the first seven to ten days and other abnormally hot or humid days.

3. Know both the temperature and the humidity since it is more difficult for the body to cool itself in high humidity.

Use of a sling psychrometer is recommended to measure the relative humidity, and anytime the wet-bulb temperature is over 78 degrees practice should be altered.

4. Adjust activity level and provide frequent rest periods. Rest in cool, shaded areas with some air movement and remove helmets and loosen or remove jerseys. Rest periods of 15-30 minutes should be provided during workouts of one hour.

5. Provide adequate cold water replacement during practice. Water should always be available in unlimited quantities to the athletes. **Give Water Regularly.**

6. Salt should be replaced daily and liberal salting of the athletes' food will accomplish this purpose. Coaches should not provide salt tablets to athletes. Attention must be directed to water replacement.

7. Athletes should weigh each day before and after practice and weight charts checked in order to treat the athlete who loses excessive weight each

day. Generally, a three percent body weight loss through sweating is safe, and a five percent loss is in the danger zone.

8. Clothing is important and a player should avoid use of long sleeves, long stockings, and any excess clothing. Never use rubberized clothing or sweatsuits.

9. Some athletes are more susceptible to heat injury. These individuals are not accustomed to work in the heat, may be overweight, and may be the eager athlete who constantly competes at his capacity. Athletes with previous heat problems should be watched closely.

10. It is important to observe for signs of heat illness. Some trouble signs are nausea, incoherence, fatigue, weakness, vomiting, cramps, weak rapid pulse, flushed appearance, visual disturbances, and unsteadiness. If heat illness is suspected, seek a physician's immediate service. Recommended emergency procedures are vital.

**TABLE II**  
Fatalities: Indirectly Due To Football - 1931 - 1990\*

Year	SANDLOT Indirect	PRO AND SEMIPRO Indirect	HIGH SCHOOL Indirect	COLLEGE Indirect	TOTAL Indirect
**1931-1959	72	12	112	28	224
1960	0	0	2	2	4
1961	4	1	11	0	16
1962	0	1	4	2	7
1963	2	0	4	2	8
1964	3	0	12	1	16
1965	4	1	14	5	24
1966	0	0	6	2	8
1967	0	0	4	1	5
1968	2	0	8	2	12
1969	3	1	8	3	15
1970	0	0	12	2	14
1971	2	1	7	2	12
1972	0	0	10	1	11
1973	0	0	5	3	8
1974	0	0	5	3	8
1975	2	0	3	13	8
1976	1	0	7	2	10
1977	0	0	6	0	6
1978	0	0	8	1	9
1979	1	0	8	1	10
1980	0	0	4	0	4
1981	0	0	6	0	6
1982	1	0	7	3	11
1983	0	0	6	3	9
1984	0	0	3	0	3
1985	0	0	1	1	2
1986	0	0	7	1	8
***1987	0	0	3	3	6
1988	1	0	10	0	11
1989	0	0	9	2	11
1990	0	0	3	3	6
<b>TOTALS</b>	<b>98</b>	<b>17</b>	<b>315</b>	<b>82</b>	<b>512</b>

\* No study was made in 1942.

\*\* Yearly totals available from past reports.

\*\*\* 1987 data changed due to additional information.

11. An increasing number of medical personnel are now using a new treatment for heat illness. The method involves applying either alcohol or cool water to the victim's skin and is followed by vigorous fanning. The fanning causes evaporation and cooling. (Source: The First Alder-September 1987)

## Recommendations

Specific recommendations resulting from the 1990 survey data are as follows:

1. Mandatory medical examinations and medical history should be taken before allowing an athlete to participate in football. The NCAA recommends a thorough medical examination when the athlete first enters the college athletic program and an annual health history update with use of referral exams when warranted. If the physician or coach has any questions about the athlete's readiness to participate, the athlete should

not be allowed to play. High school coaches should follow the recommendations set by their state high school athletic associations.

2. All personnel concerned with training football athletes should emphasize proper, gradual, and complete physical conditioning. Particular emphasis should be placed on neck strengthening exercises.

3. A physician should be present at all games and practice sessions. If it is impossible for a physician to be present at all practice sessions, emergency measures must be provided.

4. All personnel associated with football participation should be cognizant of the problems and safety measures related to physical activity in hot weather.

5. Each institution should strive to have a team trainer who is a regular member of the faculty and is adequately prepared and qualified.

6. Cooperative liaison should be maintained by all groups interested in the field of Athletic Medicine (coaches, trainers, physicians, manufacturers, administrators, and so forth).

7. There should be strict enforcement of game rules, and administrative regulations should be enforced to protect the health of the athlete. Coaches and school officials must support the game officials in their conduct of the athletic contests.

8. There should be a renewed emphasis on employing well-trained athletic personnel, providing excellent facilities, and securing the safest and best equipment possible.

9. There should be continued research concerning the safety factor in football (rules, facilities, equipment, and so forth).

10. Coaches should continue to teach and emphasize the proper fundamentals of blocking and tackling to help reduce head and neck fatalities. *Keep the head out of football.*

11. Strict enforcement of the rules of the game by both coaches and officials will help reduce serious injuries.

12. When a player has experienced or shown signs of head trauma (loss of consciousness, visual disturbances, headache, inability to walk correctly, obvious disorientation, memory loss), he should receive immediate medical attention and should not be allowed to return to practice or game without permission from the proper medical authorities.

## Section IV CASE STUDIES DIRECT FATALITIES

### High School

No direct fatalities in 1990.

**TABLE IV**  
**Heat Stroke Fatalities 1931 - 1990\***

YEAR	TOTAL
1931-1954	0
1955	1
1956-1958	0
1959	4
1960	3
1961	3
1962	5
1963	0
1964	4
1965	6
1966	1
1967	2
1968	5
1969	5
1970	8
1971	4
1972	7
1973	3
1974	0
1975	0
1976	1
1977	1
1978	4
1979	2
1980	1
1981	2
1982	2
1983	1
1984	3
1985	0
1986	0
1987	1
1988	2
1989	2
1990	1
<b>TOTALS</b>	<b>84</b>

\* No study was made in 1942.

## Section V CASE STUDIES INDIRECT FATALITIES

### High School

A 16 year old high school football player collapsed on the field during the first day of football practice. He died an hour later at the hospital. He was 6-2 tall and weighed 270 lbs. The medical examiner listed the cause of death as cardiac arrest due to an enlarged heart. The player died August 13, 1990.

A 17 year old high school football player collapsed on the practice field while performing stretching exercises after running a lap around the field. He died later in the hospital. A preliminary autopsy report stated the player probably died from a congenital heart defect. The player died September 15, 1990.

A 17 year old high school football player had an asthma attack on the

**TABLE III**

**Direct Fatalities Incidence Per 100,000  
1931 - 1990\***

YEAR	HIGH SCHOOL	COLLEGE
**1931-1959		
1960	1.78	1.53
1961	1.62	9.23
1962	1.94	0.00
1963	1.94	3.04
1964	2.23	4.56
1965	2.00	1.33
1966	2.00	0.00
1967	1.60	4.00
1968	2.60	6.60
1969	1.64	1.33
1970	1.92	4.00
1971	1.25	4.00
1972	1.33	2.67
1973	0.58	0.00
1974	0.83	1.33
1975	1.08	1.33
1976	1.00	0.00
1977	0.53	1.33
1978	0.60	0.00
1979	0.23	1.33
1980	0.69	0.00
1981	0.38	2.67
1982	0.54	0.00
1983	0.30	0.00
1984	0.30	1.33
1985	0.30	1.33
1986	0.77	1.33
1987	0.30	0.00
1988	0.46	0.00
1989	0.27	0.00
1990	0.00	0.00

\* No study was made in 1942.

\*\* Yearly totals available from past reports.

Based on 1,500,000 junior and senior high school players and 75,000 college players.

**TABLE V**

Direct Fatalities 1990: Type of Activity Engaged In

TYPE OF ACTIVITY	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
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No direct fatalities in 1990.

**TABLE VI**

Direct Fatalities 1990: Cause Of Death

CAUSES	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
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No direct fatalities in 1990.

**TABLE VII**

Direct Fatalities 1990: Position Played

POSITION	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
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No direct fatalities in 1990.

**TABLE VIII**

Indirect Fatalities 1990: Cause of Death

CAUSES	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Heart Related	0	0	2	1	3
Heat Stroke	0	0	0	1	1
Asthma	0	0	1	0	1
Rhabdomyolysis	0	0	0	1	1
<b>TOTALS</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>6</b>

**TABLE IX**

Head and Cervical Spine Fatalities

YEAR	HEAD		CERVICAL SPINE	
	FREQUENCY	PERCENT	FREQUENCY	PERCENT
1945-1954	87	20.1	32	28.8
1955-1964	115	26.6	23	20.7
1965-1974	162	37.4	42	37.9
1975-1984	69	15.9	14	12.6
<b>TOTALS</b>	<b>433</b>	<b>100.0</b>	<b>111</b>	<b>100.0</b>

sidelines before a game. He died later at the hospital. He died during the first week in October.

### College

A 21 year old college football player died of cardiac arrest on August 9, 1990. He collapsed on the practice field after running a series of short runs for approximately three minutes on August 7, 1990. The medical investigator listed the cause of death as exertion induced rhabdomyolysis with sickle cell trait as a possible contributing factor. Rhabdomyolysis causes the breakdown of skeletal muscles and is an extremely rare disease.

A 20 year old college football player collapsed on the practice field after a two hour workout on September 13, 1990. The 6-2, 268 lb. athlete died on September 22, 1990. At the time of his collapse his body temperature was reported as being 107 degrees. No autopsy was performed. The heat related illness led to a total system failure.

### 1988 Update

A high school football player who received a head injury during the 1988 football season and was in a coma, died in April of 1990. The 1988 data will be changed to indicate the player's death.

## Keep The Head Out Of Football

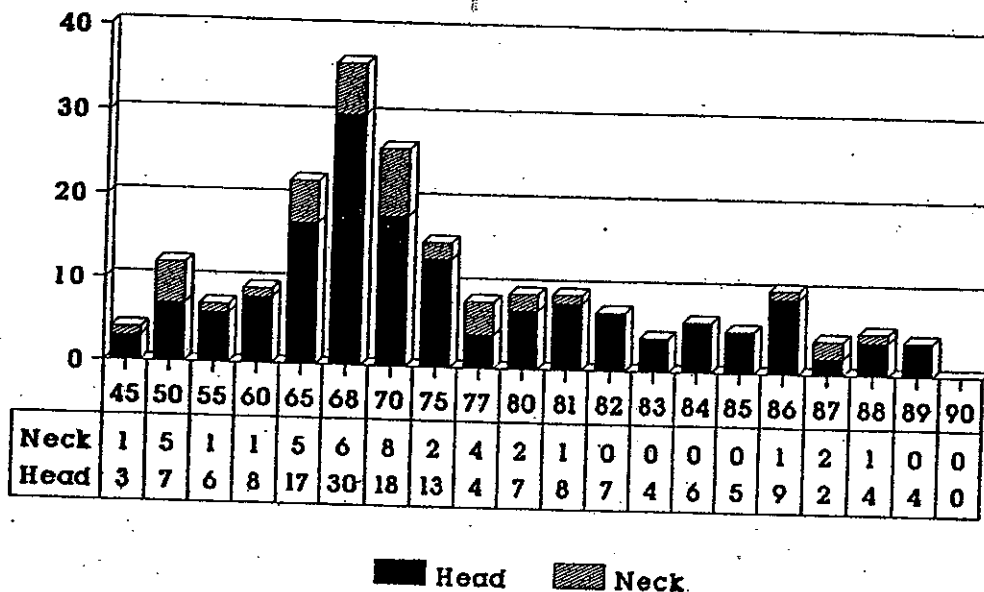
A 1976 rule change that eliminated the head as the initial contact point in blocking and tackling has significantly reduced head and neck injuries in the sport over the last decade.

Coaches can do their part to continue that trend by teaching correct techniques and emphasizing proper fundamentals at all times. That way, players can avoid catastrophic injury and coaches can avoid lawsuits.

*Keep the head out of football.*



## Football Fatalities Head & Neck Injuries



## Adopt 'Safety First' Coaching Techniques

According to legal experts, "failure to warn" usually is one of the primary accusations made against those in the coaching profession in litigation which involves catastrophic injury to a player.

To help prevent what could result in the destruction of a coaching career, as well as massive financial loss, adopt 'safety first' coaching techniques:

- 1) Have a clear and complete understanding of the intent of correct application of safety rules.
- 2) Make graphically clear to players the risk of violating these rules and use the available 'printed' material as a constant authoritative reminder to them of the importance of correct techniques.
- 3) Point out in exact terms the risk of an 'accidental' catastrophic injury in athletics before the first practice begins.



# Make Safety A Commitment And Your No. 1 Priority!!

*Excerpted from an article by Dick Schindler for the National Federation News.*

## Coaches' Checklist

- 1) Keep the head up.
- 2) Discuss risk of injury.
- 3) Keep the head out of contact.
- 4) Explain how serious injuries occur.
- 5) Involve parents in early season meeting.
- 6) Have a set plan for coaching safety.
- 7) Clearly explain and demonstrate safe techniques.
- 8) Provide best medical care possible.
- 9) Monitor blocking and tackling techniques every day.
- 10) Repeat drills which stress proper and safe techniques.
- 11) Admonish and/or discipline users of unsafe techniques.
- 12) Receive clearance by doctor for athlete to play following head trauma.
- 13) Stress safety every day.
- 14) Don't glorify "head hunters".
- 15) Support officials who penalize illegal helmet contact.
- 16) Don't praise or condone illegal helmet contact.
- 17) Provide conditioning to strengthen neck muscles.
- 18) Entire staff must be "tuned in" to safety program.
- 19) Check helmet condition regularly.
- 20) Improper technique causes spinal-cord injuries.
- 21) Helmet must fit properly.
- 22) Be prepared for a catastrophic injury.
- 23) The game doesn't need abusive contact.
- 24) Player safety is your responsibility.
- 25) It's a game — not a job — for the players.



**Frederick O. Mueller, Ph.D.**  
Chairman, American Football Coaches  
Committee on Football Injuries

**Richard D. Schindler**  
Assistant Director of the National  
Federation of State High School  
Associations

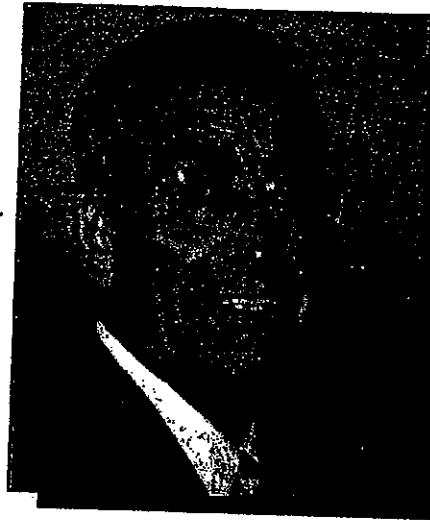
Prepared for:  
American Football Coaches  
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National Collegiate Athletic  
Association, Overland Park, Kansas.  
National Federation of State High  
School Associations, Kansas City,  
Missouri.

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Association and the National  
Federation of State High School  
Associations.

Submitted February 1992



**Mueller**



**Schindler**

The 1990 report was historic in that it was the first year since the beginning of the research, 1931, that there was not a direct fatality in football at any level of play. This clearly illustrates that this type of data collection and constant analysis of the data is important and plays a major role in injury prevention.

### Data Collection

Throughout the year, upon notification of a suspected football fatality, immediate contact is made with the appropriate officials (coaches, administrators, physicians, trainers). Pertinent information is collected through questionnaires and personal contact.

Football fatalities are classified for this report as direct and indirect. The criteria used to classify football fatalities are as follows:

**Direct** - Those fatalities which resulted directly from participation in the fundamental skills of football.

**Indirect** - Those fatalities which are caused by systemic failure as a result of exertion while participating in football activity or by a complication which was secondary to a non-fatal injury.

In several instances of reported football fatalities, the respondent stated the fatality should not be attributed to football. Reasons for these statements are that the fatality was attributed to physical defects that were unrelated to football injuries.

Participation numbers were updated

in the 1989 report. The National Federation of State High School Associations has estimated that there are approximately 1,500,000 high school, junior high school, and non-federation school football participants in the United States. The college figure of 75,000 participants includes the National Collegiate Athletic Association, the National Association of Inter-collegiate Athletics, the National Junior College Athletic Association, and an estimate of schools not associated with any national organization. Sandlot and professional football have been estimated at 225,000 participants. These figures give an estimate of 1,800,000 total football participants in the United States for the 1991 football season.

Dr. Mueller compiled and prepared the survey report on college, professional, and sandlot levels, and Mr. Richard D. Schindler of the National Federation of State High School Association assumed complete responsibility for collecting and preparing the senior and junior high school phase of the study. Sandlot is defined as non-school football, but organized and using full protective equipment.

At the conclusion of the football season, both reports are compiled into the Annual Survey of Football Injury Research. This report is sponsored by the American Football Coaches Association, the National Collegiate Athletic Association, and the National Federation of State High School Associations.

## Section I

### INTRODUCTION

In 1931 the American Football Coaches Association initiated the First Annual Survey of Football Fatalities. The final survey committee was chaired by Marvin A. Stevens, M.D., of Yale University, who served from 1931-1942. Dr. R. Eastwood, Ph.D., Purdue University, succeeded Dr. Stevens in 1942 and served through 1964.

Carl S. Blyth, Ph.D., University of North Carolina at Chapel Hill, was appointed in 1965 and served through the 1979 football season. In January 1980, Frederick O. Mueller, Ph.D., University of North Carolina at Chapel Hill, was appointed by the American Football Coaches Association and the National Collegiate Athletic Association to continue this research under the new Annual Survey of Football Injury Research.

The primary purpose of the Annual Survey of Football Injury Research is to make the game of football a safer and, therefore, a more enjoyable sports activity. Because of these surveys, the game of football has realized many benefits in regard to rule changes, improvement of equipment, and improved coaching techniques. The 1976 rule change that made it illegal to make initial contact with the head while blocking and tackling was the direct result of this research.

## Acknowledgements

Medical data for the 1991 report was compiled by Dr. Robert C. Cantu, Chairman, Department of Surgery and Chief, Neurosurgery Service, Emerson Hospital, in Concord, MA. Dr. Cantu is also the future President of the American College of Sports Medicine and is the Medical Director for the National Center for Catastrophic Sports Injury Research at the University of North Carolina at Chapel Hill.

## Section II SUMMARY

1. There were three fatalities directly related to football during the 1991 season. All three were associated with high school football. (Table I)
2. The incidence of direct fatal injuries is very low on a 100,000 player exposure basis. For the approximately 1,800,000 participants in 1991, the incidence of direct fatalities was 0.17 participants per 100,000 players.
3. The incidence of direct fatalities in high school and junior high school football was 0.2 participants per 100,000 players. The incidence of direct fatalities in college was 0.00 participants per 100,000 players. (Table III)
4. Most direct fatalities usually occur during regularly scheduled games and during the 1991 season all three direct fatalities occurred in games.
5. The 1991 survey shows that two of the direct fatalities occurred in September and one in October.
6. The major activities of football would naturally account for the greatest number of fatalities. In 1991, one player was injured tackling, one blocking on a kickoff, and one being tackled. (Table V)
7. In 1991 all three fatalities resulted from injuries to the head. (Table VI)
8. In many cases football cannot be directly responsible for fatal injuries (heart stroke, heart failure and so forth). In 1991 there were four indirect fatalities. Three were associated with high school football and one was associated with college football. All of the high school indirect deaths were related to heart failure. The one college indirect fatality was also related to heart failure. (Table VIII)
9. There were two college fatalities that should not be related to football. One player died one day after he became ill with a bacterial virus, and the second player fractured a leg and died suddenly from lung hemorrhaging associated with the injury.
10. Lightning continues to be a

problem. In 1991 an assistant high school football coach was killed when lightning struck the practice field. Two other coaches suffered minor injuries, and a player was in serious condition at the hospital.

## Section III Discussion and Recommendations

After a slight rise in the number of football fatalities during the 1986 season, the 1990 data revealed the elimination of direct football fatalities. That was the first time in the past 59 years that there have been no direct football fatalities. The 1991 data show three fatalities at the high school level. The 1990 and 1991 data illustrate the importance of data collection and the analysis of this data in making changes in the game of football that help reduce the incidence of serious injuries. An all out effort must be made to keep these figures low and to strive for the elimination of football fatalities.

## Head and Neck Injuries

Past efforts that were successful in reducing fatalities to the level indicated in the 1979, 1983, 1984, 1985, 1987, 1989, 1991 data and the elimination of direct fatalities in 1990 should again be emphasized. Rule changes for the 1976 football season which eliminated the head as a primary and initial contact area for blocking and tackling is of utmost importance. Since 1960 most of the direct fatalities have been caused by head and neck injuries. We must continue to reduce head and neck injuries.

Several suggestions for reducing head and neck injuries are as follows:

1. Athletes must be given proper conditioning exercises which will strengthen their necks so that participants will be able to hold their heads firmly erect when making contact.
2. Coaches should drill the athletes in the proper execution of the fundamental football skills, particularly blocking and

TABLE I

Fatalities: Directly Due To Football - 1931 - 1991\*

Year	SANDLOT	PRO AND SEMIPRO	HIGH SCHOOL	COLLEGE	TOTAL
	Direct	Direct	Direct	Direct	Direct
**1931-1959	115	68	262	41	486
1960	1	1	11	1	14
1961	3	0	10	6	19
1962	6	1	12	0	19
1963	1	1	12	2	16
1964	4	1	21	3	29
1965	4	0	20	1	25
1966	4	0	20	0	24
1967	5	0	16	3	24
1968	4	1	26	5	36
1969	3	1	18	1	23
1970	3	0	23	3	29
1971	2	0	15	3	20
1972	3	1	16	2	22
1973	2	0	7	0	9
1974	0	0	10	1	11
1975	1	0	13	1	15
1976	3	0	15	0	18
1977	1	0	8	1	10
1978	0	0	9	0	9
1979	0	0	3	1	4
1980	0	0	9	0	9
1981	2	0	5	2	9
1982	2	0	7	0	9
1983	0	0	4	0	4
1984	1	0	4	1	6
1985	2	0	4	1	7
1986	0	0	10	1	11
1987	0	0	4	0	4
1988	0	0	7	0	7
1989	0	0	4	0	4
1990	0	0	0	0	0
1991	0	0	3	0	3
<b>TOTALS</b>	<b>172</b>	<b>75</b>	<b>608</b>	<b>80</b>	<b>935</b>

\* No study was made in 1942.

\*\* Yearly totals available from past reports.

tackling. Contact should always be made with the head up and never with the top of the head/helmet. Initial contact should never be made with the head/helmet.

3. Coaches and officials should discourage the players from using their heads as battering rams when blocking and tackling. The rules prohibiting spearing should be enforced in practice and in games. The players should be taught to respect the helmet as a protective device and that the helmet should not be used as a weapon.

4. All coaches, physicians, and trainers should take special care to see that the player's equipment is properly fitted, particularly the helmet.

5. When a player has experienced or shown signs of head trauma (loss of consciousness, visual disturbances, headache, inability to walk correctly, obvious disorientation, memory loss), he should receive immediate medical attention and should not be allowed to return to practice or game without permission from the proper medical authorities.

Another important effort has been and continues to be the improvement of football protective equipment. It is imperative that old and worn equipment be properly renovated or discarded and continued emphasis be placed on developing the best equipment possible. Manufacturers, coaches, trainers, and physicians should continue their joint and individual efforts toward this end.

The authors of this research are convinced that the current rules which eliminate the head in blocking and tackling, the helmet research conducted by NOCSAE, excellent physical conditioning and proper medical supervision have played the primary role in reducing fatalities and serious head and neck injuries in football. This is best illustrated by Table IX and Graph I which shows the increase in both head and cervical spine fatalities during the decade from 1965-1974. This time period was associated with blocking and tackling techniques that involved the head as the initial point of contact. The reduction in head and cervical spine injuries is down in the decade from 1975-1984. This decade was associated with the 1976 rule change that eliminated the head as the initial contact point in blocking and tackling. There is no doubt that the 1976 rule change has made a difference and that a continued effort should be made to keep the head out of the fundamental skills of football.

## Heat Stroke

A continuous effort should be made to eliminate heat stroke deaths associated with football. Since the

beginning of the survey through 1991 there were five cases of heat stroke death reported. From 1960 through 1991 there have been seventy-nine heat stroke cases which resulted in death (Table IV).

Since 1974 there has been a dramatic reduction in heat stroke deaths with the exception of 1978 when there were four. There were no heat stroke deaths in 1991. All coaches, trainers, and physicians should continue their efforts toward eliminating athletic fatalities which result from physical activities in hot weather.

Heat stroke and heat exhaustion are prevented by careful control of various factors in the conditioning program of the athlete. When football activity is carried on in hot weather, the following suggestions and precautions should be taken:

1. Each athlete should have a complete physical examination with medical history and an annual health

history update. History of previous heat illness and type of training activities before organized practice begins should be included.

2. Acclimatize athletes to heat gradually by providing graduated practice sessions for the first seven to ten days and other abnormally hot or humid days.

3. Know both the temperature and the humidity since it is more difficult for the body to cool itself in high humidity. Use of a sling psychrometer is recommended to measure the relative humidity and anytime the wet-bulb temperature is over 78 degrees practice should be altered.

4. Adjust activity level and provide frequent rest periods. Rest in cool shaded areas with some air movement and remove helmets and loosen or remove jerseys. Rest periods of 15-30 minutes should be provided during workouts of one hour.

TABLE II

Fatalities: Indirectly Due To Football - 1931 - 1991\*

Year	SANDLOT Indirect	PRO AND SEMI-PRO Indirect	HIGH SCHOOL Indirect	COLLEGE Indirect	TOTAL Indirect
**1931-1959	72	12	112	28	224
1960	0	0	2	2	4
1961	4	1	11	0	16
1962	0	1	4	2	7
1963	2	0	4	2	8
1964	3	0	12	1	16
1965	4	1	14	5	24
1966	0	0	6	2	8
1967	0	0	4	1	5
1968	2	0	8	2	12
1969	3	1	8	3	15
1970	0	0	12	2	14
1971	2	1	7	2	12
1972	0	0	10	1	11
1973	0	0	5	3	8
1974	0	0	5	3	8
1975	2	0	3	3	8
1976	1	0	7	2	10
1977	0	0	6	0	6
1978	0	0	8	1	9
1979	1	0	8	1	10
1980	0	0	4	0	4
1981	0	0	6	0	6
1982	1	0	7	3	11
1983	0	0	6	3	9
1984	0	0	3	0	3
1985	0	0	1	1	2
1986	0	0	7	1	8
1987	0	0	3	3	6
1988	1	0	10	0	11
1989	0	0	9	2	11
1990	0	0	3	3	6
1991	0	0	3	1	4
<b>TOTALS</b>	<b>98</b>	<b>17</b>	<b>318</b>	<b>83</b>	<b>516</b>

\* No study was made in 1942.

\*\* Yearly totals available from past reports.



5. Provide adequate cold water replacement during practice. Water should always be available and in unlimited quantities to the athletes. GIVE WATER REGULARLY.

6. Salt should be replaced daily and liberal salting of the athletes' food will accomplish this purpose. Coaches should not provide salt tablets to athletes. Attention must be directed to water replacement.

7. Athletes should weigh in each day before and after practice and weight charts checked in order to treat the athlete who loses excessive weight each day. Generally, a three percent body weight loss through sweating is safe, and a five percent loss is in the danger zone.

8. Clothing is important and a player should avoid use of long sleeves, long stockings, and any excess clothing. Never use rubberized clothing or sweatsuits.

9. Some athletes are more susceptible

to heat injury. These individuals are not accustomed to work in the heat, may be overweight, and may be the eager athlete who constantly competes at his capacity. Athletes with previous heat problems should be watched closely.

10. It is important to observe for signs of heat illness. Some trouble signs are nausea, incoherence, fatigue, weakness, vomiting, cramps, weak rapid pulse, flushed appearance, visual disturbance, and unsteadiness. If heat illness is suspected, seek a physician's immediate service. Recommended emergency procedures are vital.

11. An increasing number of medical personnel are now using a new treatment for heat illness. The method involves applying either alcohol or cool water to the victim's skin and is followed by vigorous fanning. The fanning causes evaporation and cooling. (Source: The First Aider-September 1987)

### Recommendations

Specific recommendations resulting from the 1991 survey data are as follows:

1. Mandatory medical examinations and medical history should be taken before allowing an athlete to participate in football. The NCAA recommends a thorough medical examination when the athlete first enters the college athletic program and an annual health history update with the use of referral exams when warranted. If the physician or coach has any questions about the athlete's readiness to participate, the athlete should not be allowed to play. High school coaches should follow the recommendations set by their state high school athletic associations.

2. All personnel concerned with training football athletes should emphasize proper, gradual, and complete physical conditioning. Particular emphasis should be placed on neck strengthening exercises.

3. A physician should be present at all games and practice sessions. If it is impossible for a physician to be present at all practice sessions, emergency measures must be provided.

4. All personnel associated with football participation should be cognizant of the problems and safety measures related to physical activity in hot weather.

5. Each institution should strive to have a team trainer who is a regular member of the faculty and is adequately prepared and qualified.

6. Cooperative liaison should be maintained by all groups interested in the field of Athletic Medicine (coaches, trainers, physicians, manufacturers, administrators, and so forth).

7. There should be strict enforcement

TABLE IV

Heat Stroke Fatalities 1931 - 1991\*

YEAR	TOTAL
1931-1954	0
1955	1
1956-1958	0
1959	4
1960	3
1961	3
1962	5
1963	0
1964	4
1965	6
1966	1
1967	2
1968	5
1969	5
1970	8
1971	4
1972	7
1973	3
1974	0
1975	0
1976	1
1977	1
1978	4
1979	2
1980	1
1981	2
1982	2
1983	1
1984	3
1985	0
1986	0
1987	1
1988	2
1989	2
1990	1
1991	0
<b>TOTALS</b>	<b>84</b>

\* No study was made in 1942.

of game rules, and administrative regulations should be enforced to protect the health of the athlete. Coaches and school officials must support the game officials in their conduct of the athletic contests.

8. There should be a renewed emphasis on employing well-trained athletic personnel, providing excellent facilities, and securing the safest and best equipment possible.

9. There should be continued research concerning the safety factor in football (rules, facilities, equipment, and so forth).

10. Coaches should continue to teach and emphasize the proper fundamentals of blocking and tackling to help reduce head and neck fatalities. KEEP THE HEAD OUT OF FOOTBALL.

11. Strict enforcement of the rule of the game by both coaches and officials will help reduce serious injuries.

12. When a player has experienced or shown signs of head trauma (loss of

TABLE III

Direct Fatalities Incidence Per 100,000  
1931 - 1991\*

YEAR	HIGH SCHOOL	COLLEGE
**1931-1959		
1960	1.78	1.53
1961	1.62	9.23
1962	1.94	0.00
1963	1.94	3.04
1964	2.23	4.56
1965	2.00	1.33
1966	2.00	0.00
1967	1.60	4.00
1968	2.60	6.60
1969	1.64	1.33
1970	1.92	4.00
1971	1.25	4.00
1972	1.33	2.67
1973	0.58	0.00
1974	8.83	1.33
1975	1.08	1.33
1976	1.00	0.00
1977	0.53	1.33
1978	0.60	0.00
1979	0.23	1.33
1980	0.69	0.00
1981	0.38	2.67
1982	0.54	0.00
1983	0.30	0.00
1984	0.30	1.33
1985	0.30	1.33
1986	0.77	1.33
1987	0.30	0.00
1988	0.46	0.00
1989	0.27	0.00
1990	0.00	0.00
1991	0.20	0.00

\* No study was made in 1942.

\*\* Yearly totals available from past reports.

Based on 1,500,000 junior and senior high school players and 75,000 college players.



**TABLE V**

Direct Fatalities 1991: Type of Activity Engaged In

TYPE OF ACTIVITY	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Tackling	0	0	1	0	1
Tackled	0	0	1	0	1
Blocking — Kick-off	0	0	1	0	1
<b>TOTALS</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>3</b>

**TABLE VI**

Direct Fatalities 1991: Cause Of Death

CAUSES	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Head Injury	0	0	3	0	3
<b>TOTALS</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>3</b>

**TABLE VII**

Direct Fatalities 1991: Position Played

POSITION	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Running Back	0	0	1	0	1
Kick-off Return Team	0	0	1	0	1
Defense	0	0	1	0	1
<b>TOTALS</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>3</b>

**TABLE VIII**

Indirect Fatalities 1991: Cause of Death

CAUSES	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Heart Related	0	0	3	1	4
<b>TOTALS</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>4</b>

**TABLE IX**

Head and Cervical Spine Fatalities

YEAR	HEAD		CERVICAL SPINE	
	FREQUENCY	PERCENT	FREQUENCY	PERCENT
1945-1954	87	20.1	32	28.8
1955-1964	115	26.6	23	20.7
1965-1974	162	37.4	42	37.9
1975-1984	69	15.9	14	12.6
<b>TOTALS</b>	<b>433</b>	<b>100.0</b>	<b>111</b>	<b>100.0</b>

consciousness, visual disturbances, headache, inability to walk correctly, obvious disorientation, memory loss), he should receive immediate medical attention and should not be allowed to return to practice or game without permission from the proper medical authorities.

#### Section IV CASE STUDIES DIRECT FATALITIES

##### High School

A 17 year old high school football player was injured in a game on September 20, 1991 and died on September 25, 1991. Two plays before he collapsed on the field he complained to teammates that he felt dizzy. Two plays later he ran into the quarterback on a play where he was supposed to carry the ball. he died from a subdural hematoma.

A 17 year old high school football player was injured on September 27, 1991 and died on October 4, 1991 after he was injured in a game. He collapsed on the field after he received a hard hit while attempting to block an opponent on a first quarter kick-off. Cause of death was a subdural hematoma.

A high school football player was injured in a game on September 7, 1991 and died on September 8, 1991. he was making a tackle at the time of the injury and received a head injury.

#### Section V CASE STUDIES INDIRECT FATALITIES

##### High School

On January 22, a high school football player was being timed in a mile run. He could not finish the run and collapsed while walking back to the field house. Cause of death was congenital hypoplasia of the aorta. He was 16 years old.

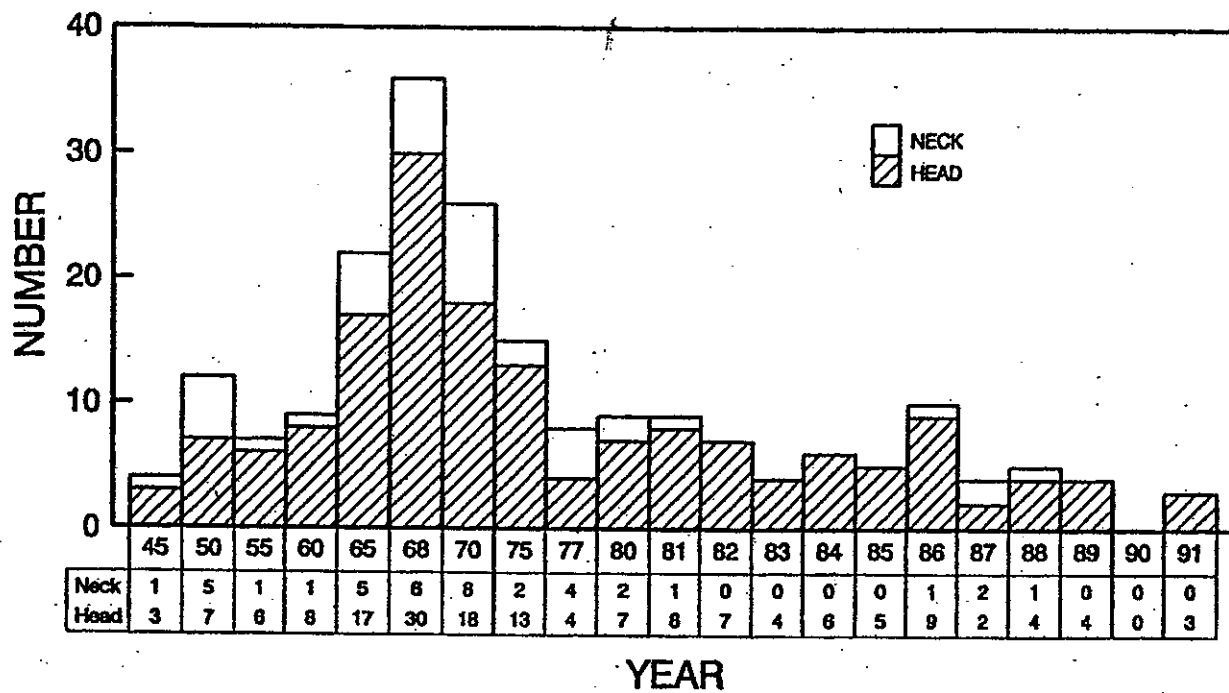
A high school football player collapsed and died after a running drill on September 9, 1991. He was 15 years old. Cause of death was heart failure.

A 13 year old middle school football player died after collapsing at football practice on September 25, 1991. Cause of death was listed as a disrupted heart rhythm but the autopsy showed no apparent cause for the disruption.

##### College

A 19 year old college freshman football player collapsed shortly before practice on September 25, 1991 and died. He was involved in pre-practice warm-up. Cause of death was heart failure. He did have a history of a minor heart problem while in high school.

## FOOTBALL FATALITIES HEAD AND NECK INJURIES



## Adopt 'Safety First' Coaching Techniques

According to legal experts, "failure to warn" usually is one of the primary accusations made against those in the coaching profession in litigation which involves catastrophic injury to a player.

To help prevent what could result in the destruction of a coaching career, as well as massive financial loss, adopt 'safety first' coaching techniques:

- 1) Have a clear and complete understanding of the intent of correct application of safety rules.
- 2) Make graphically clear to players the risk of violating these rules and use the available 'printed' material as a constant authoritative reminder to them of the importance of correct techniques.
- 3) Point out in exact terms the risk of an 'accidental' catastrophic injury in athletics before the first practice begins.

# Make Safety A Commitment And Your No. 1 Priority

*Excerpted from an article by Dick Schindler for the National Federation News.*

## Coaches' Checklist

- 1) Keep the head up.
- 2) Discuss risk of injury.
- 3) Keep the head out of contact.
- 4) Explain how serious injuries occur.
- 5) Involve parents in early season meeting.
- 6) Have a set plan for coaching safety.
- 7) Clearly explain and demonstrate safe techniques.
- 8) Provide best medical care possible.
- 9) Monitor blocking and tackling techniques every day.
- 10) Repeat drills which stress proper and safe techniques.
- 11) Admonish and/or discipline users of unsafe techniques.
- 12) Receive clearance by doctor for athlete to play following head trauma.
- 13) Stress safety every day.
- 14) Don't glorify "head hunters".
- 15) Support officials who penalize illegal helmet contact.
- 16) Don't praise or condone illegal helmet contact.
- 17) Provide conditioning to strengthen neck muscles.
- 18) Entire staff must be "tuned in" to safety program.
- 19) Check helmet condition regularly.
- 20) Improper technique causes spinal-cord injuries.
- 21) Helmet must fit properly.
- 22) Be prepared for a catastrophic injury.
- 23) The game doesn't need abusive contact.
- 24) Player safety is your responsibility.
- 25) It's a game — not a job — for the players.

### Keep The Head Out Of Football

A 1976 rule change that eliminated the head as the initial contact point in blocking and tackling has significantly reduced head and neck injuries in the sport over the last decade.

Coaches can do their part to continue that trend by teaching correct techniques and emphasizing proper fundamentals at all times. That way, players can avoid catastrophic injury and coaches can avoid lawsuits.

*Keep the head out of football.*

# Annual Survey of Football Injury Research 1993-1992

## Annual Survey of Football Injury Research

**Frederick O. Mueller, Ph.D.**  
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Committee on Football Injuries  
and

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Federation of State High School  
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Prepared for:  
**American Football Coaches  
Association, Orlando, Florida;  
National Collegiate Athletic  
Association, Overland Park, Kansas;  
National Federation of State High  
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Missouri.**

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tion and the National Federation of  
State High School Associations.  
Submitted February 1993

## Section I INTRODUCTION

In 1931 the American Football Coaches Association initiated the First Annual Survey of Football Fatalities. The original survey committee was chaired by Marvin A. Stevens, M.D., of Yale University, who served from 1931-1942. Floyd R. Eastwood, Ph.D., Purdue University, succeeded Dr. Stevens in 1942 and served through 1964.

Carl S. Blyth, Ph.D., University of North Carolina at Chapel Hill, was appointed in 1965 and served through the 1979 football season. In January 1980, Frederick O. Mueller, Ph.D., University of North Carolina at Chapel Hill, was appointed by the American Football Coaches Association and the National Collegiate Athletic Association to continue this research under the new title, Annual Survey of Football Injury Research.

The primary purpose of the Annual Survey of Football Injury Research is to make the game of football a safer and, therefore, a more enjoyable sports activity. Because of these surveys, the game of football has realized many benefits in regard to rule changes, improvement of equipment, and improved coaching techniques. The 1976 rule change that made it illegal to make initial contact with the head while block-



Mueller

ing and tackling was the direct result of this research.

The 1990 report was historic in that it was the first year since the beginning of the research, 1931, that there was not a direct fatality in football at any level of play. This clearly illustrates that data collection and analysis is important and plays a major role in injury prevention.

## Data Collection

Throughout the year, upon notification of a suspected football fatality, immediate contact is made with the appropriate officials (coaches, administrators, physicians, trainers). Pertinent information is collected through questionnaires and personal contact.

Football fatalities are classified for this report as direct and indirect. The criteria used to classify football fatalities are as follows:

**Direct** - Those fatalities which resulted directly from participation in the fundamental skills of football.

**Indirect** - Those fatalities which are caused by systemic failure as a result of exertion while participating in football activity or by a complication which was secondary to a non-fatal injury.

In several instances of reported football fatalities, the respondent stated the fatality should not be attributed to football. Reasons for these statements are that the fatality was attributed to physical defects that were unrelated to football injuries.



Schindler

Participation numbers were updated in the 1989 report. The National Federation of State High School Associations has estimated that there are approximately 1,500,000 high school, junior high school, and non-federation school football participants in the United States. The college figure of 75,000 participants includes the National Collegiate Athletic Association, the National Association of Inter-collegiate Athletics, the National Junior College Athletic Association, and an estimate of schools not associated with any national organization. Sandlot and professional football have been estimated at 225,000 participants. These figures give an estimate of 1,800,000 total football participants in the United States for the 1992 football season.

Dr. Mueller compiled and prepared the survey report on college, professional, and sandlot levels, and Mr. Richard D. Schindler of the National Federation of State High School Association assumed responsibility for collecting and preparing the senior and junior high school phase of the study. Sandlot is defined as non-school football, but organized and using full protective equipment.

At the conclusion of the football season, both reports are compiled into the Annual Survey of Football Injury Research. This report is sponsored by the American Football Coaches Association, the National Collegiate Athletic Association, and the National Federation of State High School Associations.



## Acknowledgements

Medical data for the 1992 report was compiled by Dr. Robert C. Cantu, Chairman, Department of Surgery and Chief, Neurosurgery Service, Emerson Hospital, in Concord, MA. Dr. Cantu is the President of the American College of Sports Medicine and is the Medical Director for the National Center for Catastrophic Sports Injury Research at the University of North Carolina at Chapel Hill.

## Section II SUMMARY

1. There was one fatality directly related to football during the 1992 football season and it was associated with high school football. (Table I)

2. The rate of direct fatal injuries is very low on a 100,000 player exposure basis. For the approximately 1,800,000 participants in 1992, the rate of direct fatalities was 0.06 participants per 100,000 players.

3. The rate of direct fatalities in high school and junior high school football was 0.07 participants per 100,000 players. The rate of direct fatalities in college was 0.00 participants per 100,000 players. (Table III)

4. Most direct fatalities usually occur during regularly scheduled games. In 1992, the one direct fatality occurred in a practice scrimmage.

5. The 1992 survey shows that the one direct fatality occurred in August.

6. The major activities in football would naturally account for the greatest number of fatalities. In 1992 the direct fatality was related to tackling. (Table V)

7. In 1992 the one direct fatality resulted from injuries to the head. (Table VI)

8. In many cases football cannot be directly responsible for fatal injuries (heart stroke, heart related and so forth). In 1992 there were eleven indirect fatalities. Nine were associated with high school football, one was associated with college football and one was associated with youth league football. Five of the high school indirect deaths were heart related, one to heat, one to a rare bacterial disease, one to an aneurysm and the cause of one was unknown. The college indirect fatality was heart related and the youth indirect fatality was related to a seizure. (Table VIII)

9. There was one high school fatality that was not related to football. The player died after knee surgery due to complications of pneumonia, asthma and scar tissue on the wall of the heart.

10. In addition to the above fatalities, a high school football player died while

attending a football camp in June of 1992. His death was heart related.

## Section III

### Discussion and Recommendations

After a slight rise in the number of football fatalities during the 1986 season, the 1990 data revealed the elimination of direct football fatalities. That was the first time in the past 59 years that there have been no direct football fatalities. There were three fatalities in 1991 and the 1992 data show one direct fatality at the high school level. The 1990, 1991 and 1992 data illustrate the importance of data collection and the analysis of this data in making changes in the game of football that help reduce the incidence of serious injuries. An all out effort must be made to keep these figures low and to strive for the elimination of football fatalities.

## Head and Neck Injuries

Past efforts that were successful in reducing fatalities to the level indicated in the 1979, 1983, 1984, 1985, 1987, 1989, 1991 and 1992 data and the elimination of direct fatalities in 1990 should again be emphasized. Rule changes for the 1976 football season which eliminated the head as a primary and initial contact area for blocking and tackling is of utmost importance. Since 1960 most of the direct fatalities have been caused by head and neck injuries. We must continue to reduce head and neck injuries.

Several suggestions for reducing head and neck injuries are as follows:

1. Athletes must be given proper conditioning exercises which will strengthen their necks so that participants will be able to hold their heads firmly erect when making contact.

2. Coaches should drill the athletes in

TABLE I

Fatalities: Directly Due To Football - 1931 - 1992\*

Year	SANDLOT Direct	PRO AND SEMI-PRO Direct	HIGH SCHOOL Direct	COLLEGE Direct	TOTAL Direct
**1931-1959	115	68	262	41	486
1960	1	1	11	1	14
1961	3	0	10	6	19
1962	6	1	12	0	19
1963	1	1	12	2	16
1964	4	1	21	3	29
1965	4	0	20	1	25
1966	4	0	20	0	24
1967	5	0	16	3	24
1968	4	1	26	5	36
1969	3	1	18	1	23
1970	3	0	23	3	29
1971	2	0	15	3	20
1972	3	1	16	2	22
1973	2	0	7	0	9
1974	0	0	10	1	11
1975	1	0	13	1	15
1976	3	0	15	0	18
1977	1	0	8	1	10
1978	0	0	9	0	9
1979	0	0	3	1	4
1980	0	0	9	0	9
1981	2	0	5	2	9
1982	2	0	7	0	9
1983	0	0	4	0	4
1984	1	0	4	1	6
1985	2	0	4	1	7
1986	0	0	10	1	11
1987	0	0	4	0	4
1988	0	0	7	0	7
1989	0	0	4	0	4
1990	0	0	0	0	0
1991	0	0	3	0	3
1992	0	0	1	0	1
TOTALS	172	75	609	80	936

\* No study was made in 1942.

\*\* Yearly totals available from past reports.



the proper execution of the fundamental football skills, particularly blocking and tackling. Contact should always be made with the head up and never with the top of the head/helmet. Initial contact should never be made with the head/helmet.

3. Coaches and officials should discourage the players from using their heads as battering rams when blocking and tackling. The rules prohibiting spearing should be enforced in practice and in games. The players should be taught to respect the helmet as a protective device and that the helmet should not be used as a weapon.

4. All coaches, physicians, and trainers should take special care to see that the player's equipment is properly fitted, particularly the helmet.

5. When a player has experienced or shown signs of head trauma (loss of consciousness, visual disturbances, headache, inability to walk correctly, obvious disorientation, memory loss), he should receive immediate medical attention and should not be allowed to return to practice or game without permission from the proper medical authorities.

Another important effort has been and continues to be the improvement of football protective equipment. It is imperative that old and worn equipment be properly renovated or discarded and continued emphasis be placed on developing the best equipment possible. Manufacturers, coaches, trainers, and physicians should continue their joint and individual efforts toward this end.

The authors of this research are convinced that the current rules which eliminate the head in blocking and tackling, coaches teaching the proper fundamentals of blocking and tackling, the helmet research conducted by NOCSAE, excellent physical conditioning and proper medical supervision and a good data collection system have played the primary role in reducing fatalities and serious head and neck injuries in football. This is best illustrated by Table IX and Graph I which shows the increase in both head and cervical spine fatalities during the decade from 1965-1974. This time period was associated with blocking and tackling techniques that involved the head as the initial point of contact. The reduction in head and cervical spine injuries is down in the decade from 1975-1984. This decade was associated with the 1976 rule change that eliminated the head as the initial contact point in blocking and tackling. There is no doubt that the 1976 rule change has made a difference and that a continued effort should be made to keep the head out of the fundamental skills of football.

A continuous effort should be made to eliminate heat stroke deaths associated with football. Since the beginning of the survey through 1959 there were five cases of heat stroke death reported. From 1960 through 1992 there have been eighty heat stroke cases which resulted in death (Table IV).

Since 1974 there has been a dramatic reduction in heat stroke deaths with the exception of 1978 when there were four. There was one heat stroke death in 1992. All coaches, trainers, and physicians should continue their efforts toward eliminating athletic fatalities which result from physical activities in hot weather.

Heat stroke and heat exhaustion are prevented by careful control of various factors in the conditioning program of the athlete. When football activity is car-

ried on in hot weather, the following suggestions and precautions should be taken:

1. Each athlete should have a complete physical examination with medical history and an annual health history update. History of previous heat illness and type of training activities before organized practice begins should be included.

2. Acclimatize athletes to heat gradually by providing graduated practice sessions for the first seven to ten days and other abnormally hot or humid days.

3. Know both the temperature and the humidity since it is more difficult for the body to cool itself in high humidity. Use of a sling psychrometer is recommended to measure the relative humidity and anytime the wet-bulb temperature is over 78 degrees practice should be altered.

TABLE II

Fatalities: Indirectly Due To Football - 1931 - 1992\*

Year	SANDLOT Indirect	PRO AND SEMIPRO Indirect	HIGH SCHOOL Indirect	COLLEGE Indirect	TOTAL Indirect
**1931-1959	72	12	112	28	224
1960	0	0	2	2	4
1961	4	1	11	0	16
1962	0	1	4	2	7
1963	2	0	4	2	8
1964	3	0	12	1	16
1965	4	1	14	5	24
1966	0	0	6	2	8
1967	0	0	4	1	5
1968	2	0	8	2	12
1969	3	1	8	3	15
1970	0	0	12	2	14
1971	2	1	7	2	12
1972	0	0	10	1	11
1973	0	0	5	3	8
1974	0	0	5	3	8
1975	2	0	3	3	8
1976	1	0	7	3	11
1977	0	0	6	0	6
1978	0	0	8	1	9
1979	1	0	8	1	10
1980	0	0	4	0	4
1981	0	0	6	0	6
1982	1	0	7	3	11
1983	0	0	6	3	9
1984	0	0	3	0	3
1985	0	0	1	1	2
1986	0	0	7	1	8
1987	0	0	3	3	6
1988	1	0	10	0	11
1989	0	0	9	2	11
1990	0	0	3	3	6
1991	0	0	3	1	4
1992	1	0	9	1	11
TOTALS	99	17	327	84	527

\* No study was made in 1942.

\*\* Yearly totals available from past reports.

4. Adjust activity level and provide frequent rest periods. Rest in cool shaded areas with some air movement and remove helmets and loosen or remove jerseys. Rest periods of 15-30 minutes should be provided during workouts of one hour.

5. Provide adequate cold water replacement during practice. Water should always be available and in unlimited quantities to the athletes. GIVE WATER REGULARLY.

6. Salt should be replaced daily and liberal salting of the athletes' food will accomplish this purpose. Coaches should not provide salt tablets to athletes. Attention must be directed to water replacement.

7. Athletes should weigh in each day before and after practice and weight charts checked in order to treat the athlete who loses excessive weight each day. Generally, a three percent body

weight loss through sweating is safe, and a five percent loss is in the danger zone.

8. Clothing is important and a player should avoid use of long sleeves, long stockings, and any excess clothing. Never use rubberized clothing or sweatsuits.

9. Some athletes are more susceptible to heat injury. These individuals are not accustomed to work in the heat, may be overweight, and may be the eager athlete who constantly competes at his capacity. Athletes with previous heat problems should be watched closely.

10. It is important to observe for signs of heat illness. Some trouble signs are nausea, incoherence, fatigue, weakness, vomiting, cramps, weak rapid pulse, flushed appearance, visual disturbance, and unsteadiness. If heat illness is suspected, seek a physician's immediate service. Recommended emergency procedures are vital.

11. An increasing number of medical personnel are now using a new treatment for heat illness. The method involves applying either alcohol or cool water to the victim's skin and is followed by vigorous fanning. The fanning causes evaporation and cooling. (Source: The First Alder-September 1987)

### Recommendations

Specific recommendations resulting from the 1992 survey data are as follows:

1. Mandatory medical examinations and medical history should be taken before allowing an athlete to participate in football. The NCAA recommends a thorough medical examination when the athlete first enters the college athletic program and an annual health history update with the use of referral exams when warranted. If the physician or coach has any questions about the athlete's readiness to participate, the athlete should not be allowed to play. High school coaches should follow the recommendations set by their state high school athletic associations.

2. All personnel concerned with training football athletes should emphasize proper, gradual, and complete physical conditioning. Particular emphasis should be placed on neck strengthening exercises.

3. A physician should be present at all games and practice sessions. If it is impossible for a physician to be present at all practice sessions, emergency measures must be provided.

4. All personnel associated with football participation should be cognizant of the problems and safety measures related to physical activity in hot weather.

TABLE IV

### Heat Stroke Fatalities 1931 - 1992\*

YEAR	TOTAL
1931-1954	0
1955	1
1956-1958	0
1959	4
1960	3
1961	3
1962	5
1963	0
1964	4
1965	6
1966	1
1967	2
1968	5
1969	5
1970	8
1971	4
1972	7
1973	3
1974	0
1975	0
1976	1
1977	1
1978	4
1979	2
1980	1
1981	2
1982	2
1983	1
1984	3
1985	0
1986	0
1987	1
1988	2
1989	2
1990	1
1991	0
1992	1

TOTALS 85

\* No study was made in 1942.

5. Each institution should strive to have a team trainer who is a regular member of the faculty and is adequately prepared and qualified.

6. Cooperative liaison should be maintained by all groups interested in the field of Athletic Medicine (coaches, trainers, physicians, manufacturers, administrators, and so forth).

7. There should be strict enforcement of game rules, and administrative regulations should be enforced to protect the health of the athlete. Coaches and school officials must support the game officials in their conduct of the athletic contests.

8. There should be a renewed emphasis on employing well-trained athletic personnel, providing excellent facilities, and securing the safest and best equipment possible.

9. There should be continued research concerning the safety factor in football

TABLE III

### Direct Fatalities Incidence Per 100,000 1931 - 1992\*

YEAR	HIGH SCHOOL	COLLEGE
**1931-1959		
1960	1.78	1.53
1961	1.62	9.23
1962	1.94	0.00
1963	1.94	3.04
1964	2.23	4.56
1965	2.00	1.33
1966	2.00	0.00
1967	1.60	4.00
1968	2.60	6.60
1969	1.64	1.33
1970	1.92	4.00
1971	1.25	4.00
1972	1.33	2.67
1973	0.58	0.00
1974	8.83	1.33
1975	1.08	1.33
1976	1.00	0.00
1977	0.53	1.33
1978	0.60	0.00
1979	0.23	1.33
1980	0.69	0.00
1981	0.38	2.67
1982	0.54	0.00
1983	0.30	0.00
1984	0.30	1.33
1985	0.30	1.33
1986	0.77	1.33
1987	0.30	0.00
1988	0.46	0.00
1989	0.27	0.00
1990	0.00	0.00
1991	0.20	0.00
1992	0.07	0.00

\* No study was made in 1942.

\*\* Yearly totals available from past reports.

Based on 1,500,000 junior and senior high school players and 75,000 college players.

**TABLE V**

Direct Fatalities 1992: Type of Activity Engaged In

TYPE OF ACTIVITY	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Tackling	0	0	1	0	1
TOTALS	0	0	1	0	1

**TABLE VI**

Direct Fatalities 1992: Cause Of Death

CAUSES	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Head Injury	0	0	1	0	1
TOTALS	0	0	1	0	1

**TABLE VII**

Direct Fatalities 1992: Position Played

POSITION	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Linebacker	0	0	1	0	1
TOTALS	0	0	1	0	1

**TABLE VIII**

Indirect Fatalities 1992: Cause of Death

CAUSES	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Heart Related	0	0	5	1	6
Aneurysm	0	0	1	0	1
Rare Bacterial Disease	0	0	1	0	1
Heat Stroke	0	0	1	0	1
Unknown	0	0	1	0	1
Seizure	1	0	0	0	1
TOTALS	1	0	9	1	11

**TABLE IX**

Head and Cervical Spine Fatalities

YEAR	HEAD		CERVICAL SPINE	
	FREQUENCY	PERCENT	FREQUENCY	PERCENT
1945-1954	87	20.1	32	28.8
1955-1964	115	26.8	23	20.7
1965-1974	162	37.4	42	37.9
1975-1984	69	15.9	14	12.6
TOTALS	433	100.0	111	100.0

(rules, facilities, equipment, and so forth).

10. Coaches should continue to teach and emphasize the proper fundamentals of blocking and tackling to help reduce head and neck fatalities. KEEP THE HEAD OUT OF FOOTBALL.

11. Strict enforcement of the rule of the game by both coaches and officials will help reduce serious injuries.

12. When a player has experienced or shown signs of head trauma (loss of consciousness, visual disturbances, headache, inability to walk correctly, obvious disorientation, memory loss), he should receive immediate medical attention and should not be allowed to return to practice or game without permission from the proper medical authorities.

#### Section IV CASE STUDIES DIRECT FATALITIES High School

A 16 year old high school football player was injured in a junior varsity scrimmage game on August 21, 1992 and died on August 22, 1992. He was playing linebacker at the time and was struck in the chin being blocked while attempting to tackle the ballcarrier on a goal-line stand. Autopsy reports show cause of death as cerebral injuries (subdural hematoma).

#### Section V CASE STUDIES INDIRECT FATALITIES High School

A 14 year old high school football player collapsed and later died on August 10, 1992 after conditioning drills with no pads. Cause of death was believed to be heart related. He had a physical exam before the season.

A 15 year old high school football player collapsed after practice on August 19, 1992 and later died in the hospital. He was a junior varsity player. The autopsy report shows cause of death as chronic myocarditis (inflammation of the muscular walls of the heart).

A 13 year old middle school football player collapsed during warm-up before a practice on October 6, 1992 and later died in the hospital. Cause of death was believed to be heart related.

A 14 year old football player collapsed during a game on October 9, 1992 and died later in the hospital. He was a junior varsity player and had a physical exam before the season. Cause of death was cardiac arrest.

A 17 year old high school football player collapsed during the first quarter of a game on September 11, 1992 and

died later. He had a physical exam before the season. The autopsy showed cause of death as hypertrophic cardiomyopathy.

A 17 year old high school football player was injured in a game on October 3, 1992 and died on October 11, 1992. The cause of death was not believed to be directly related to football and an autopsy was not performed.

A 17 year old high school football player collapsed on August 18, 1992 and died of heat stroke on August 20, 1992. The team was involved in running

drills and the temperature was over 100 degrees.

A 14 year old high school football player suffered a cerebral aneurysm during practice on August 28, 1992 and he died on August 29, 1992.

A 17 year old high school football player received a thigh bruise on September 11, 1992 during a game. He later had his leg amputated and died on September 26, 1992. Cause of death was a rare bacterial disease — beta hemolytic streptococcus — which caused a rapid

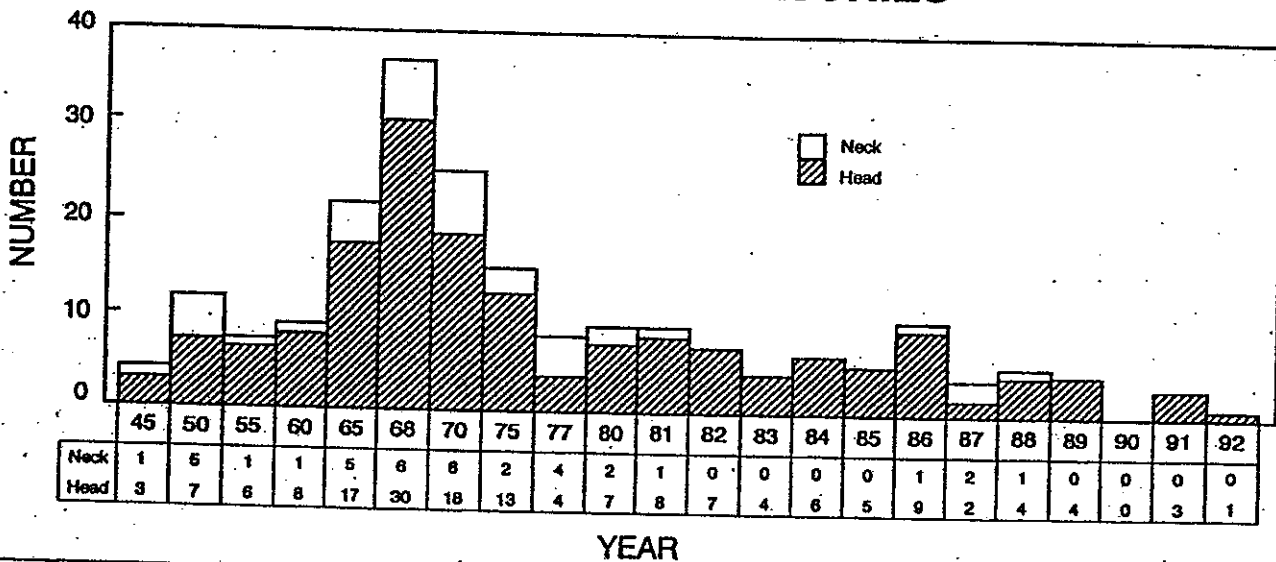
muscle infection.

A 16 year old football player collapsed and died from cardiac arrest in a football camp on June 23, 1992. Autopsy results show the cause of death as hypertrophic cardiomyopathy.

### College

A 20 year old college football player collapsed on the first day of spring conditioning program — January 16, 1992. He died on January 18, 1992. He had a physical exam just hours before he collapsed. Cause of death heart related.

## FOOTBALL FATALITIES HEAD AND NECK INJURIES



## Adopt 'Safety First' Coaching Techniques

According to legal experts, "failure to warn" usually is one of the primary accusations made against those in the coaching profession in litigation which involves catastrophic injury to a player.

To help prevent what could result in the destruction of a coaching career, as well as massive financial loss, adopt 'safety first' coaching techniques:

- 1) Have a clear and complete understanding of the intent of correct application of safety rules.
- 2) Make graphically clear to players the risk of violating these rules and use the available 'printed' material as a constant authoritative reminder to them of the importance of correct techniques.
- 3) Point out in exact terms the risk of an 'accidental' catastrophic injury in athletics before the first practice begins.



*Excerpted from an article by Dick Schindler for the National Federation News.*

## Coaches' Checklist

- 1) Keep the head up.
- 2) Discuss risk of injury.
- 3) Keep the head out of contact.
- 4) Explain how serious injuries occur.
- 5) Involve parents in early season meeting.
- 6) Have a set plan for coaching safety.
- 7) Clearly explain and demonstrate safe techniques.
- 8) Provide best medical care possible.
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- 14) Don't glorify "head hunters".
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- 18) Entire staff must be "tuned in" to safety program.
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A 1976 rule change that eliminated the head as the initial contact point in blocking and tackling has significantly reduced head and neck injuries in the sport over the last decade.

Coaches can do their part to continue that trend by teaching correct techniques and emphasizing proper fundamentals at all times. That way, players can avoid catastrophic injury and coaches can avoid lawsuits.

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Prepared for:  
American Football Coaches  
Association, Orlando, Florida;  
National Collegiate Athletic  
Association, Overland Park, Kansas;  
National Federation of State High  
School Associations, Kansas City,  
Missouri.

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Submitted February 1994



**Mueller**



**Schindler**

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Carl S. Blyth, Ph.D., University of North Carolina at Chapel Hill, was appointed in 1965 and served through the 1979 football season. In January 1980, Frederick O. Mueller, Ph.D., University of North Carolina at Chapel Hill, was appointed by the American Football Coaches Association and the National Collegiate Athletic Association to continue this research under the new title, Annual Survey of Football Injury Research.

The primary purpose of the Annual Survey of Football Injury Research is to make the game of football a safer and, therefore, a more enjoyable sports activity. Because of these surveys, the game of football has realized many benefits in regard to rule changes, improvement of equipment, and improved coaching techniques. The 1976 rule change that made it illegal to make initial contact with the head while blocking and tackling was the direct result of this research.

The 1990 report was historic in that it was the first year since the beginning of the research, 1931, that there was not a direct fatality in football at any level of play. This clearly illustrates that data collection and analysis is important and plays a major role in injury prevention.

### **Data Collection**

Throughout the year, upon notification of a suspected football fatality, immediate contact is made with the appropriate officials (coaches, administrators, physicians, trainers). Pertinent information is collected through questionnaires and personal contact.

Football fatalities are classified for this report as direct and indirect. The criteria used to classify football fatalities are as follows:

**Direct** - Those fatalities which resulted directly from participation in the fundamental skills of football.

**Indirect** - Those fatalities which are caused by systemic failure as a result of exertion while participating in football activity or by a complication which was secondary to a non-fatal injury.

In several instances of reported football fatalities, the respondent stated the fatality should not be attributed to football. Reasons for these statements are that the fatality was attributed to physical defects that were unrelated to football injuries.

Participation numbers were updated in the 1989 report. The National

Federation of State High School Associations has estimated that there are approximately 1,500,000 high school, junior high school, and non-federation school football participants in the United States. The college figure of 75,000 participants includes the National Collegiate Athletic Association, the National Association of Inter-collegiate Athletics, the National Junior College Athletic Association, and an estimate of schools not associated with any national organization. Sandlot and professional football have been estimated at 225,000 participants. These figures give an estimate of 1,800,000 total football participants in the United States for the 1993 football season.

Dr. Mueller compiled and prepared the survey report on college, professional, and sandlot levels, and Mr. Richard D. Schindler of the National Federation of State High School Association assumed responsibility for collecting and preparing the senior and junior high school phase of the study. Sandlot is defined as non-school football, but organized and using full protective equipment.

At the conclusion of the football season, both reports are compiled into the Annual Survey of Football Injury Research. This report is sponsored by the American Football Coaches Association, the National Collegiate Athletic Association, and the National Federation of State High School Associations.

## Acknowledgements

Medical data for the 1993 report was compiled by Dr. Robert C. Cantu, Chairman, Department of Surgery and Chief, Neurosurgery Service, Emerson Hospital, in Concord, MA. Dr. Cantu is the Past-President of the American College of Sports Medicine and is the Medical Director for the National Center for Catastrophic Sports Injury Research at the University of North Carolina at Chapel Hill.

## Section II

### SUMMARY

1. There were four fatalities directly related to football during the 1993 football season. Three were associated with high school football and one with college football. (Table I)

2. The rate of direct fatal injuries is very low on a 100,000 player exposure basis. For the approximately 1,800,000 participants in 1993, the rate of direct fatalities was 0.22 participants per 100,000 players.

3. The rate of direct fatalities in high school and junior high school football was 0.20 participants per 100,000 players. The rate of direct fatalities in college was 1.33 participants per 100,000 players. (Table III)

4. Most direct fatalities usually occur during regularly scheduled games. In 1993, two of the direct fatalities occurred in games and two in practice.

5. The 1993 survey shows that one direct fatality occurred in August, two in September and one in October.

6. The major activities in football would naturally account for the greatest number of fatalities. In 1993 two of the direct fatalities happened while tackling, one while being tackled, and the activity of one was unknown. (Table V)

7. In 1993 three of the direct fatalities resulted from injuries to the head and one from a neck injury. (Table VI)

8. In many cases football cannot be directly responsible for fatal injuries (heart stroke, heart related and so forth). In 1993 there were nine indirect fatalities. Eight were associated with high school football, one was associated with college football. Seven of the high school indirect deaths were heart related and one was associated with an asthma attack. The college indirect fatality was heart related. (Table VII)

## Section III

### Discussion and Recommendations

After a slight rise in the number of football fatalities during the 1986 sea-

son, the 1990 data revealed the elimination of direct football fatalities. That was the first time in the past 59 years that there have been no direct football fatalities. There were three fatalities in 1991, two in 1992, and the 1993 data shows four direct fatalities - three at the high school level and one at the college level. The 1990, 1991, 1992 and 1993 data illustrate the importance of data collection and the analysis of this data in making changes in the game of football that help reduce the incidence of serious injuries. An all out effort must be made to keep these figures low and to strive for the elimination of football fatalities.

### Head and Neck Injuries

Past efforts that were successful in reducing fatalities to the level indicated in the 1979, 1983, 1987, 1989, 1991, 1992 and 1993 data and the elimination of direct fatalities in 1990 should again be emphasized. Rule changes for the 1976 football season which eliminated

the head as a primary and initial contact area for blocking and tackling is of utmost importance. Since 1960 most of the direct fatalities have been caused by head and neck injuries. We must continue to reduce head and neck injuries.

Several suggestions for reducing head and neck injuries are as follows:

1. Athletes must be given proper conditioning exercises which will strengthen their necks so that participants will be able to hold their heads firmly erect when making contact.

2. Coaches should drill the athletes in the proper execution of the fundamental football skills, particularly blocking and tackling. Contact should always be made with the head up and never with the top of the head/helmet. Initial contact should never be made with the head/helmet or face mask.

3. Coaches and officials should discourage the players from using their

TABLE I

Fatalities: Directly Due To Football - 1931 - 1993\*

Year	SANDLOT Direct	PRO AND SEMIPRO Direct	HIGH SCHOOL Direct	COLLEGE Direct	TOTAL Direct
**1931-1959	115	68	262	41	486
1960	1	1	11	1	14
1961	3	0	10	6	19
1962	6	1	12	0	19
1963	1	1	12	2	16
1964	4	1	21	3	29
1965	4	0	20	1	25
1966	4	0	20	0	24
1967	5	0	16	3	24
1968	4	1	26	5	36
1969	3	1	18	1	23
1970	3	0	23	3	29
1971	2	0	15	3	20
1972	3	1	16	2	22
1973	2	0	7	0	9
1974	0	0	10	1	11
1975	1	0	13	1	15
1976	3	0	15	0	18
1977	1	0	8	1	10
1978	0	0	9	0	9
1979	0	0	3	1	4
1980	0	0	9	0	9
1981	2	0	5	2	9
1982	2	0	7	0	9
1983	0	0	4	0	4
1984	1	0	4	1	6
1985	2	0	4	1	7
1986	0	0	11	1	12
1987	0	0	4	0	4
1988	0	0	7	0	7
1989	0	0	4	0	4
1990	0	0	0	0	0
1991	0	0	3	0	3
1992	0	0	1	0	1
1993	0	0	3	1	4
TOTALS	172	75	614	81	942

\* No study was made in 1942.

\*\* Yearly totals available from past reports.



heads as battering rams when blocking and tackling. The rules prohibiting spear-ing should be enforced in practice and in games. The players should be taught to respect the helmet as a protective device and that the helmet should not be used as a weapon.

4. All coaches, physicians, and trainers should take special care to see that the player's equipment is properly fitted, particularly the helmet.

5. When a player has experienced or shown signs of head trauma (loss of consciousness, visual disturbances, headache, inability to walk correctly, obvious disorientation, memory loss), he should receive immediate medical attention and should not be allowed to return to practice or game without permission from the proper medical authorities.

Another important effort has been and continues to be the improvement of football protective equipment. It is imperative that old and worn equipment be properly renovated or discarded and continued emphasis be placed on developing the best equipment possible. Manufacturers, coaches, trainers, and physicians should continue their joint and individual efforts toward this end.

The authors of this research are convinced that the current rules which eliminate the head in blocking and tackling, coaches teaching the proper fundamentals of blocking and tackling, the helmet research conducted by NOCSAE, excellent physical conditioning and proper medical supervision and a good data collection system have played the primary role in reducing fatalities and serious head and neck injuries in football.

This is best illustrated by Table IX and Graph I which shows the increase in both head and cervical spine fatalities during the decade from 1965-1974. This time period was associated with blocking and tackling techniques that involved the head as the initial point of contact. The reduction in head and cervical spine injuries is down in the decade from 1975-1984. This decade was associated with the 1976 rule change that eliminated the head as the initial contact point in blocking and tackling. There is no doubt that the 1976 rule change has made a difference and that a continued effort should be made to keep the head out of the fundamental skills of football. Data from the decade 1985 - 1994 will be available in the Annual Survey of Football Injury Research 1931 - 1994.

### Heat Stroke

A continuous effort should be made to eliminate heat stroke deaths associated with football. Since the beginning of the survey through 1959

there were five cases of heat stroke death reported. From 1960 through 1993 there have been eighty heat stroke cases which resulted in death (Table IV).

Since 1974 there has been a dramatic reduction in heat stroke deaths with the exception of 1978 when there were four. There were no heat stroke deaths in 1993. All coaches, trainers, and physicians should continue their efforts toward eliminating athletic fatalities which result from physical activities in hot weather.

Heat stroke and heat exhaustion are prevented by careful control of various factors in the conditioning program of the athlete. When football activity is carried on in hot weather, the following suggestions and precautions should be taken:

1. Each athlete should have a complete physical examination with medical history and an annual health

history update. History of previous heat illness and type of training activities before organized practice begins should be included.

2. Acclimatize athletes to heat gradually by providing graduated practice sessions for the first seven to ten days and other abnormally hot or humid days.

3. Know both the temperature and the humidity since it is more difficult for the body to cool itself in high humidity. Use of a sling psychrometer is recommended to measure the relative humidity and anytime the wet-bulb temperature is over 78 degrees practice should be altered.

4. Adjust activity level and provide frequent rest periods. Rest in cool shaded areas with some air movement and remove helmets and loosen or remove jerseys. Rest periods of 15-30 minutes should be provided during

**TABLE II**  
Fatalities: Indirectly Due To Football - 1931 - 1993\*

Year	SANDLOT Indirect	PRO AND SEMI-PRO Indirect	HIGH SCHOOL Indirect	COLLEGE Indirect	TOTAL Indirect
**1931-1959	72	12	112	28	224
1960	0	0	2	2	4
1961	4	1	11	0	16
1962	0	1	4	2	7
1963	2	0	4	2	8
1964	3	0	12	1	16
1965	4	1	14	5	24
1966	0	0	6	2	8
1967	0	0	4	1	5
1968	2	0	8	2	12
1969	3	1	8	3	15
1970	0	0	12	2	14
1971	2	1	7	2	12
1972	0	0	10	1	11
1973	0	0	5	3	8
1974	0	0	5	3	8
1975	2	0	3	3	8
1976	1	0	7	2	10
1977	0	0	6	0	6
1978	0	0	8	1	9
1979	1	0	8	1	10
1980	0	0	4	0	4
1981	0	0	6	0	6
1982	1	0	7	3	11
1983	0	0	6	3	9
1984	0	0	3	0	3
1985	0	0	1	1	2
1986	0	0	6	1	7
1987	0	0	4	3	7
1988	1	0	10	0	11
1989	0	0	9	2	11
1990	0	0	3	3	6
1991	0	0	3	1	4
1992	1	0	9	1	11
1993	0	0	8	1	9
<b>TOTALS</b>	<b>99</b>	<b>17</b>	<b>335</b>	<b>85</b>	<b>536</b>

\* No study was made in 1942.  
\*\* Yearly totals available from past reports.



5. Provide adequate cold water replacement during practice. Water should always be available and in unlimited quantities to the athletes. GIVE WATER REGULARLY.

6. Salt should be replaced daily and liberal salting of the athletes' food will accomplish this purpose. Coaches should not provide salt tablets to athletes. Attention must be directed to water replacement.

7. Athletes should weigh in each day before and after practice and weight charts checked in order to treat the athlete who loses excessive weight each day. Generally, a three percent body weight loss through sweating is safe, and a five percent loss is in the danger zone.

8. Clothing is important and a player should avoid use of long sleeves, long stockings, and any excess clothing.

TABLE III

Direct Fatalities Incidence Per 100,000  
1931 - 1993\*

YEAR	HIGH SCHOOL	COLLEGE
**1931-1959		
1960	1.78	1.53
1961	1.62	9.23
1962	1.94	0.00
1963	1.94	3.04
1964	2.23	4.56
1965	2.00	1.33
1966	2.00	0.00
1967	1.60	4.00
1968	2.60	6.60
1969	1.64	1.33
1970	1.92	4.00
1971	1.25	4.00
1972	1.33	2.67
1973	0.58	0.00
1974	0.83	1.33
1975	1.08	1.33
1976	1.00	0.00
1977	0.53	1.33
1978	0.60	0.00
1979	0.23	1.33
1980	0.69	0.00
1981	0.38	2.67
1982	0.54	0.00
1983	0.30	0.00
1984	0.30	1.33
1985	0.30	1.33
1986	0.84	1.33
1987	0.30	0.00
1988	0.46	0.00
1989	0.27	0.00
1990	0.00	0.00
1991	0.20	0.00
1992	0.14	0.00
1993	0.20	1.33

\* No study was made in 1942.

\*\* Yearly totals available from past reports.

Based on 1,500,000 junior and senior high school players and 75,000 college players.

Never use rubberized clothing or sweatsuits.

9. Some athletes are more susceptible to heat injury. These individuals are not accustomed to work in the heat, may be overweight, and may be the eager athlete who constantly competes at his capacity. Athletes with previous heat problems should be watched closely.

10. It is important to observe for signs of heat illness. Some trouble signs are nausea, incoherence, fatigue, weakness, vomiting, cramps, weak rapid pulse, flushed appearance, visual disturbance, and unsteadiness. If heat illness is suspected, seek a physician's immediate service. Recommended emergency procedures are vital.

11. An increasing number of medical personnel are now using a new treatment for heat illness that involves applying either alcohol or cool water to the victim's skin and is followed by vigorous fanning. The fanning causes evaporation and cooling. (Source: The First Alder-September 1987).

### Recommendations

Specific recommendations resulting from the 1993 survey data are as follows:

1. Mandatory medical examinations and medical history should be taken before allowing an athlete to participate in football. The NCAA recommends a thorough medical examination when the athlete first enters the college athletic program and an annual health history update with the use of referral exams when warranted. If the physician or coach has any questions about the athlete's readiness to participate, the athlete should not be allowed to play. High school coaches should follow the recommendations set by their state high school athletic associations.

2. All personnel concerned with training football athletes should emphasize proper, gradual, and complete physical conditioning. Particular emphasis should be placed on neck strengthening exercises.

3. A physician should be present at all games and practice sessions. If it is impossible for a physician to be present at all practice sessions, emergency measures must be provided.

4. All personnel associated with football participation should be cognizant of the problems and safety measures related to physical activity in hot weather.

5. Each institution should strive to have a team trainer who is a regular member of the faculty and is adequately prepared and qualified.

6. Cooperative liaison should be

TABLE IV

Heat Stroke Fatalities 1931 - 1993\*

YEAR	TOTAL
1931-1954	0
1955	1
1956-1958	0
1959	4
1960	3
1961	3
1962	5
1963	0
1964	4
1965	6
1966	1
1967	2
1968	5
1969	5
1970	8
1971	4
1972	7
1973	3
1974	1
1975	0
1976	1
1977	1
1978	4
1979	2
1980	1
1981	2
1982	2
1983	1
1984	3
1985	0
1986	0
1987	1
1988	2
1989	1
1990	1
1991	0
1992	1
1993	0

TOTALS 85

\* No study was made in 1942.

maintained by all groups interested in the field of Athletic Medicine (coaches, trainers, physicians, manufacturers, administrators, and so forth).

7. There should be strict enforcement of game rules, and administrative regulations should be enforced to protect the health of the athlete. Coaches and school officials must support the game officials in their conduct of the athletic contests.

8. There should be a renewed emphasis on employing well-trained athletic personnel, providing excellent facilities, and securing the safest and best equipment possible.

9. There should be continued research concerning the safety factor in football (rules, facilities, equipment, and so forth).

10. Coaches should continue to teach and emphasize the proper funda-

**TABLE V**

Direct Fatalities 1993: Type of Activity Engaged In

TYPE OF ACTIVITY	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Tackling	0	0	2	1	3
Tackled	0	0	1	0	1
<b>TOTALS</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>4</b>

**TABLE VI**

Direct Fatalities 1993: Cause Of Death

CAUSES	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Head Injury	0	0	2	1	3
Neck Injury	0	0	1	0	1
<b>TOTALS</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>4</b>

**TABLE VII**

Direct Fatalities 1993: Position Played

POSITION	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Linebacker	0	0	1	0	1
Quarterback	0	0	1	0	1
Defensive Back	0	0	1	0	1
Tackling Drill	0	0	0	1	1
<b>TOTALS</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>4</b>

**TABLE VIII**

Indirect Fatalities 1993: Cause of Death

CAUSES	SANDLOT	PRO	HIGH SCHOOL	COLLEGE	TOTAL
Heart Related	0	0	7	1	8
Asthma	0	0	1	0	1
<b>TOTALS</b>	<b>0</b>	<b>0</b>	<b>8</b>	<b>1</b>	<b>9</b>

**TABLE IX**

Head and Cervical Spine Fatalities

YEAR	HEAD		CERVICAL SPINE	
	FREQUENCY	PERCENT	FREQUENCY	PERCENT
1945-1954	87	20.1	32	28.8
1955-1964	115	26.6	23	20.7
1965-1974	162	37.4	42	37.9
1975-1984	69	15.9	14	12.6
<b>TOTALS</b>	<b>433</b>	<b>100.0</b>	<b>111</b>	<b>100.0</b>

mentals of blocking and tackling to help reduce head and neck fatalities. KEEP THE HEAD OUT OF FOOTBALL.

11. Strict enforcement of the rule of the game by both coaches and officials will help reduce serious injuries.

12. When a player has experienced or shown signs of head trauma (loss of consciousness, visual disturbances, headache, inability to walk correctly, obvious disorientation, memory loss), he should receive immediate medical attention and should not be allowed to return to practice or game without permission from the proper medical authorities.

#### Section IV CASE STUDIES DIRECT FATALITIES High School

A 15 year old high school football player was injured in a game on September 8, 1993 and died on September 20, 1993. He was attempting to make a tackle in a practice drill and suffered a fracture-dislocation of a cervical vertebra. No other information was available.

A 17 year old high school football player was injured on September 24, 1993, and died on September 30, 1993. The athlete was injured in a game but the exact activity at the time of the injury was unknown. The Medical Examiner stated that the injury was directly related to contact. He played both tight end and linebacker in the game and collapsed during the third quarter. Cause of death was a subdural hematoma.

A 16 year old high school football player was injured on October 21, 1993, and died on October 29, 1993. The athlete was playing quarterback in a game. In the fourth quarter he was rolling out to pass and following release of the ball was hit by a defender. Cause of death was cerebral trauma.

#### College

A 19 year old, college freshman football player was injured in a practice session on August 26, 1993 and died August 27, 1993. He collapsed on the field after tackling in a practice drill. Cause of death was a subdural hematoma.

#### Section V CASE STUDIES INDIRECT FATALITIES High School

A 15 year old high school football player had an asthma attack 15 minutes after practice and died on August 27, 1993. He passed the physical exam to participate in football.